

# **UWI-NGI project 2006-2008**

## **Tsunamis in the Caribbean - Regional Exposure and Local Risk Assessment**

**NOTE:** The following contains example calculations simply meant to DEMONSTRATE a methodology, and should not be considered as final results meant for further use in planning, hazard or risk assessment.

*Carl B. Harbitz & Sylfest Glimsdal*

*Contributions from:*

*Hilmar Bungum, Conrad Lindholm, Natalia Zamora,  
Peter Gauer, Finn Løvholt, Nadia Gour, Regula Frauenfelder, Kjetil Sverdrup-  
Thygeson, Helge Smebye, Unni K. Eidsvig, Oddvar Kjekstad, CZMU*

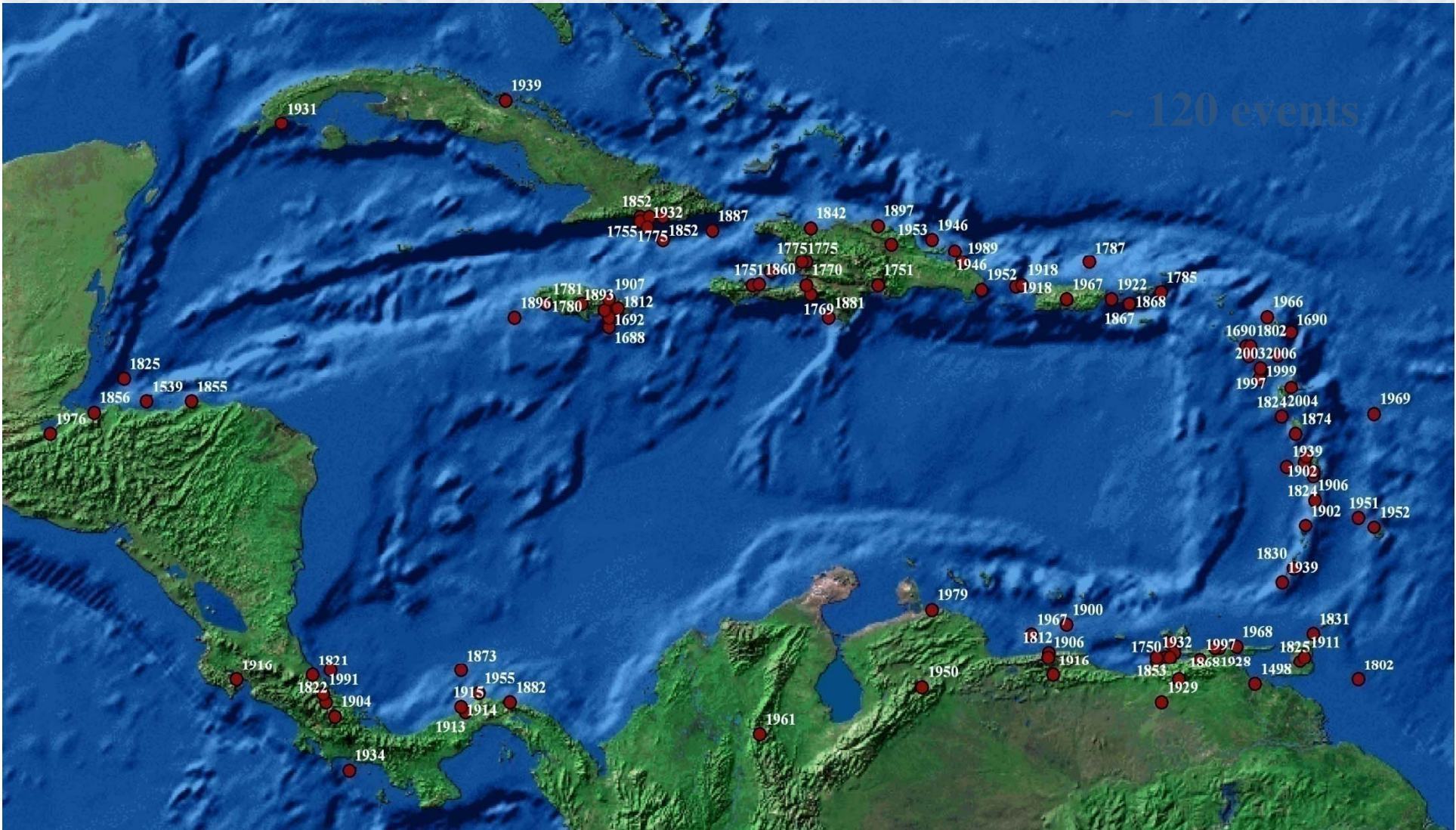
*ICG/CARIBE EWS WG2 meeting, Pointe-a-Pitre, Guadeloupe, December 7<sup>th</sup> 2008*



# Caribbean Tsunami Database - Compilation of recorded events

- Critical assessment of two tsunami catalogues and one earthquake catalogue
  - National Geophysical Data Centre (NGDC)
  - Tsunami Laboratory Novosibirsk (TLN)
  - R. Engdahl

# Source location for all recorded tsunamis in the databases – first data from year 1498

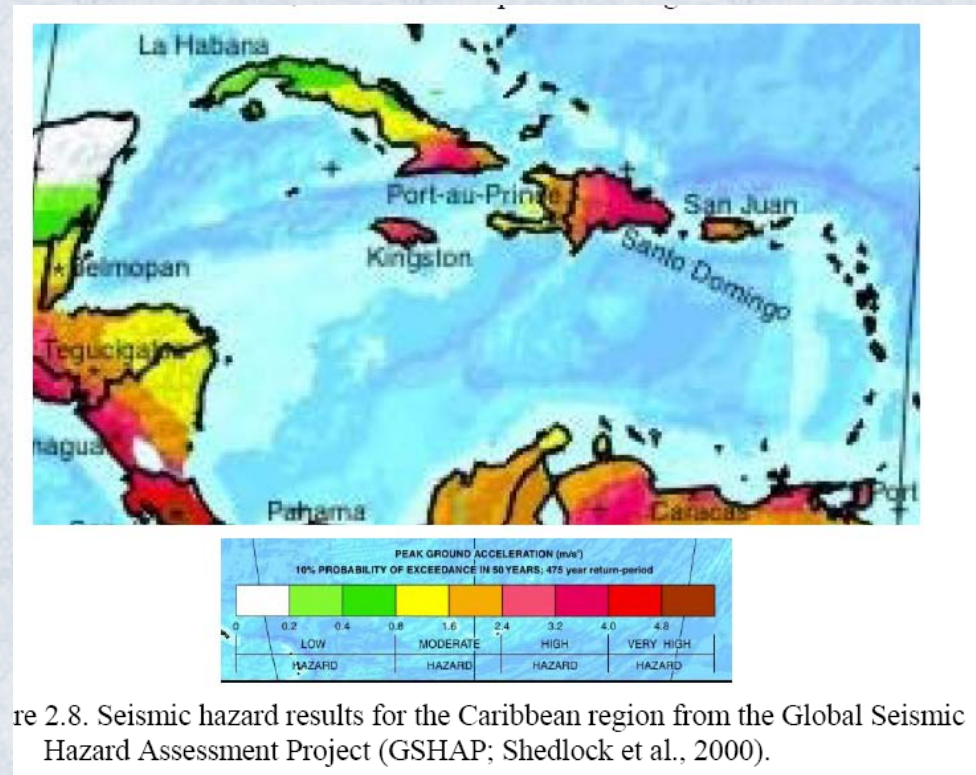


# Tsunamis in the Caribbean Sea - example scenario criteria

- Sound choices based on the historical records
- Examples of various kinds of sources
- Regional distribution to serve as an example of regional exposure assessment
- Avoid reproduction of previous studies
- Relevant for partners in this project
- Relevant as input for Bridgetown tsunami risk demonstration project
- We will present:
  - 2 earthquake tsunami scenarios
  - 2 subaerial volcano debris flow tsunami scenario
  - 1 submarine landslide tsunami scenario
  - 1 trans-oceanic tsunami

# Regional seismic hazard

- NE Caribbean more exposed than Lesser Antilles



# Tsunamis generated by earthquakes – suggested scenarios

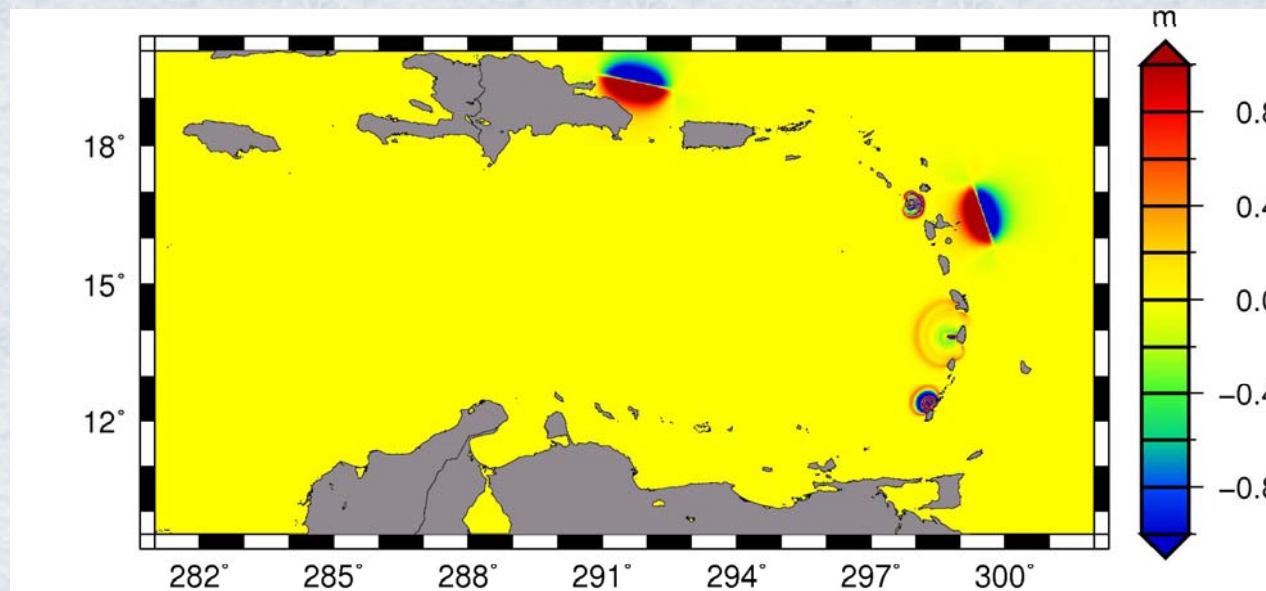
- Maximum magnitude:
  - Largest credible earthquake around M 8.0, no potential for tsunamis similar to 2004 Sumatra/Indian Ocean tsunami
- Locations:
  - Sources based on combination of
    - historical eq and tsunami occurrence
    - large scale tectonics

# Tsunamis generated by volcanoes and landslides

- Information on volcanological sources provided by Dr. R. Robertson, SRC, and compiled by NGI
- 2 eruptive volcanoes:
  - Subaerial at Montserrat
  - Submarine Kick'em Jenny
- St. Lucia debris flow

# The scenarios

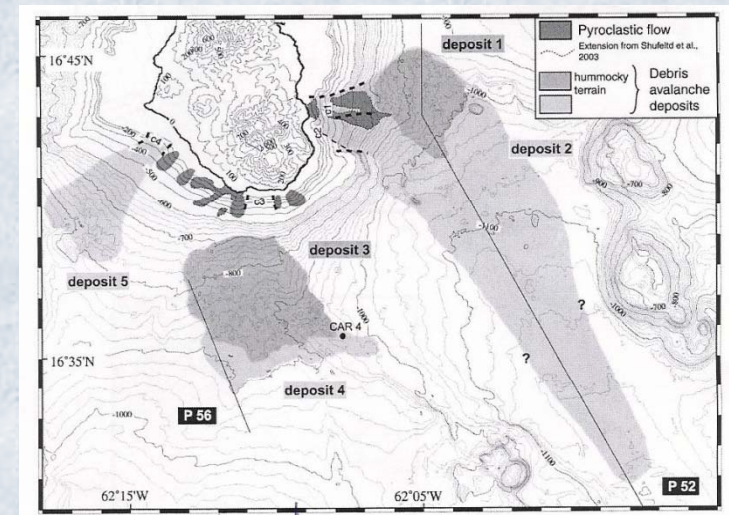
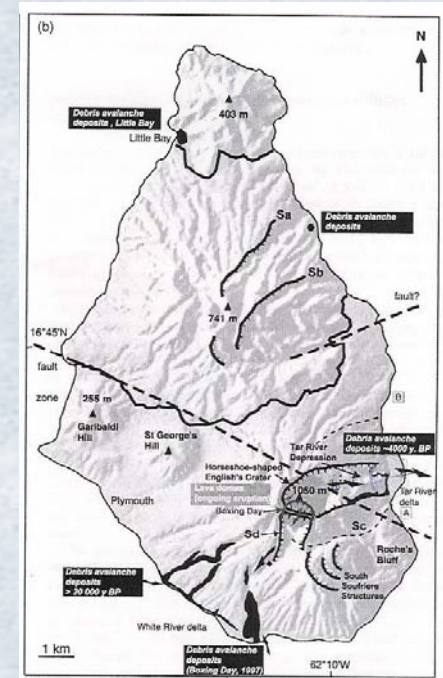
- Totally five scenarios
- Due to different return periods we divide our study into two groups, one for earthquakes and one for slides.
  - Earthquakes Mw 8.0 (Lesser Antilles and north of Hispaniola)
  - Slides (Montserrat, St. Lucia, and Grenada)





# Example study III: Subaerial landslide from Soufrière Hills volcano, Montserrat

- Soufrière Hills: eruptive volcano, much focus
- 4000 BP event not modelled before (?), "worst case scenario" (?)
- English's Crater flank collapse
  - Deposit 1 formed by 1 event (Le Friant et al. 2004)
  - Volume:  $L \times W \times H = 1.6 \times 1 \times 0.1 \text{ km}^3 = 1.6 \cdot 10^8 \text{ m}^3$
  - Submerged run-out: 5.4 km (from deposits)
- Impact velocity: 30 m/s
- 1997:  $2.5 \cdot 10^7 \text{ m}^3$
- 2003:  $2 \cdot 10^8 \text{ m}^3$  (as smaller volumes, limited velocities)



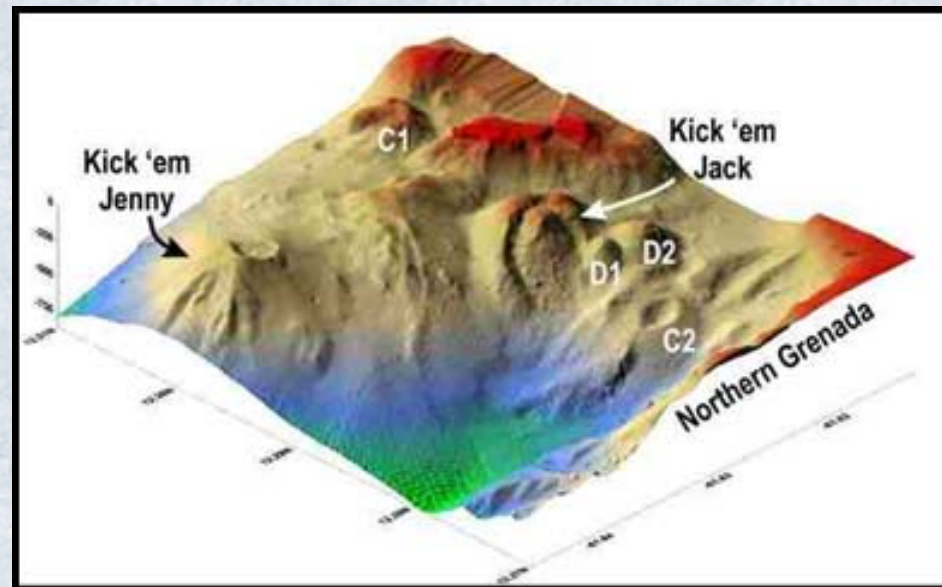
Le Friant et al., 2004

# Example study IV: Submarine landslide – close to Kick'em Jenny

- Most active volcanic centre in Lesser Antilles arc
- 8 km north of Grenada
- First observed in active eruption 1939, small tsunami
- Since then erupted at about 5-year intervals
- Summit of the volcano is now  $> 130$  m below surface
- Multibeam surveys suggest flank collapse east of the active cone and debris flows running 15-30 km to the west with thickness of tens to hundreds of meters, smaller has a volume of  $10 \text{ km}^3$   
(Sigurdsson et al. 2006)

# Kick'em Jenny and Kick'em Jack

- Not to the east
- Kick'em Jack not to be considered in terms of flank collapse



Source: <http://www.uwiseismic.com/KeJ/kejhome.html>

# Gisler et al. 2006

- Depth of summit now 190 m, not significantly diminishing, **water pressure confines the explosive effects**
- SAGE hydrocode simulations: Coupling of explosive energy to wave energy is inefficient compared to slower mechanisms (only a few percent of source energy transferred)
- Conclusion:
  - no danger (except for gases and missiles threatening shipping)
  - Efficient production of tsunami requires earthquakes or landslides
  - **Tsunami danger from explosive eruptions less than from slope failure at that volcano (similar to that which caused the horse-shoe shaped cleft in which the volcano currently nestles)**

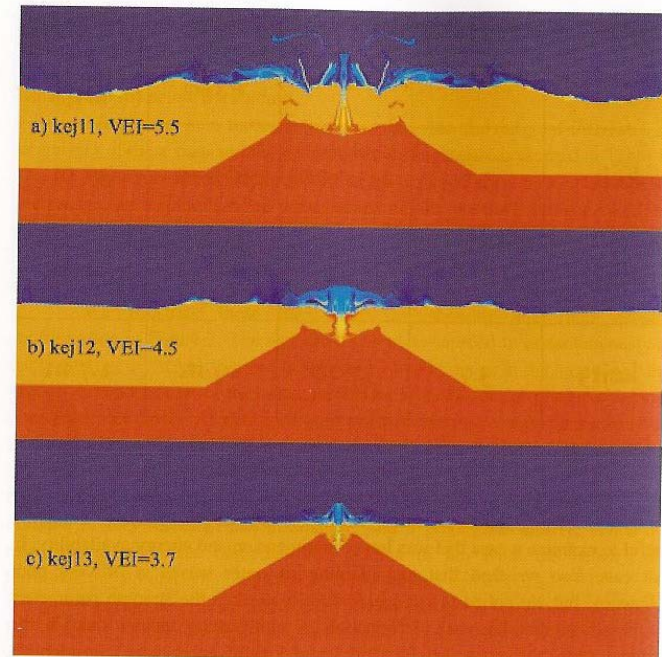
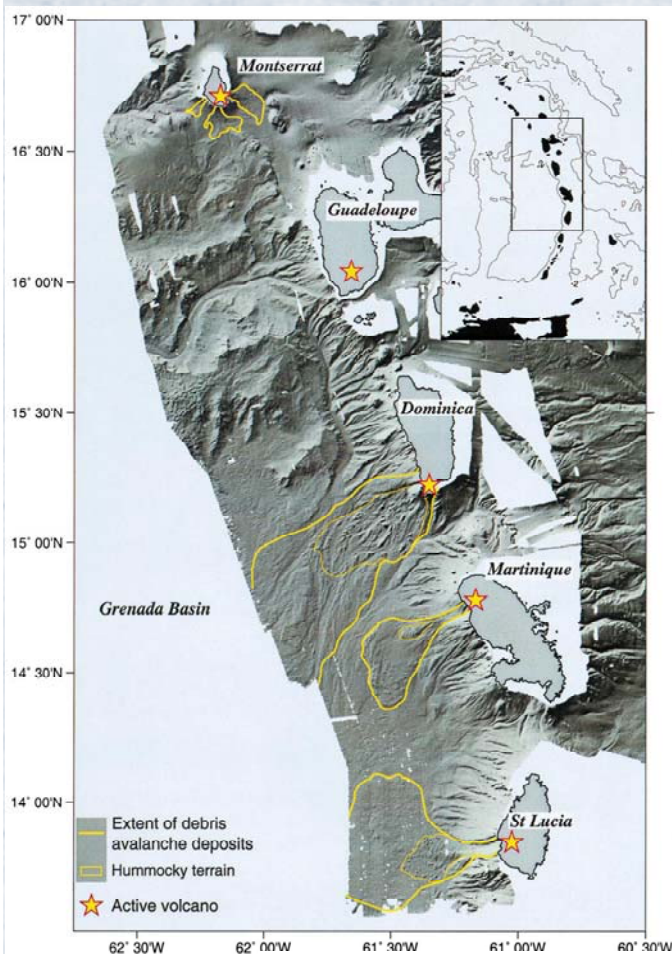


Figure 2. Final wave profiles for the three representative runs.

# From these reasons...

- 0.6 km<sup>3</sup> western flank collapse on Kick'em Jenny
- Run-out 10 km to the west  
(from statistics,  $H/L = 1.5 \text{ km} / 10 \text{ km} = 0.15$ )
- $U_{\max} = 45 \text{ m/s}$  (from analytical calc)

# Example study V: St. Lucia



Deplus et al. (2001)

- Run-out 18 km
- Max. vel. 40m/s
- $W \times H \times L = 1.32 \times 800 \times 200 \times 1200 = 250 \text{ Mm}^3$
- Lindsay et al. 2002:
  - Sulphur springs within Qualibou caldera is a susceptible area (but not the “worst case” large explosive magmatic eruption)
  - Lack of age data makes it impossible to develop an eruption frequency
  - Major activity 35-20 000 years BP
  - Deposits easily eroded, possible that more eruptions have occurred over the last 20 000 years and that products have not been preserved.

# Probability of earthquake scenarios

- From convergence rates, records, and literature
- Hence, the proposed M8 earthquake scenarios have a return period of approximately 500 years  
(i.e. a probability of 10 % of an event occurring in 50 years)
- Somewhat larger than Zahibo et al. (2007):
  - M8 earthquake return periods of ~200 years

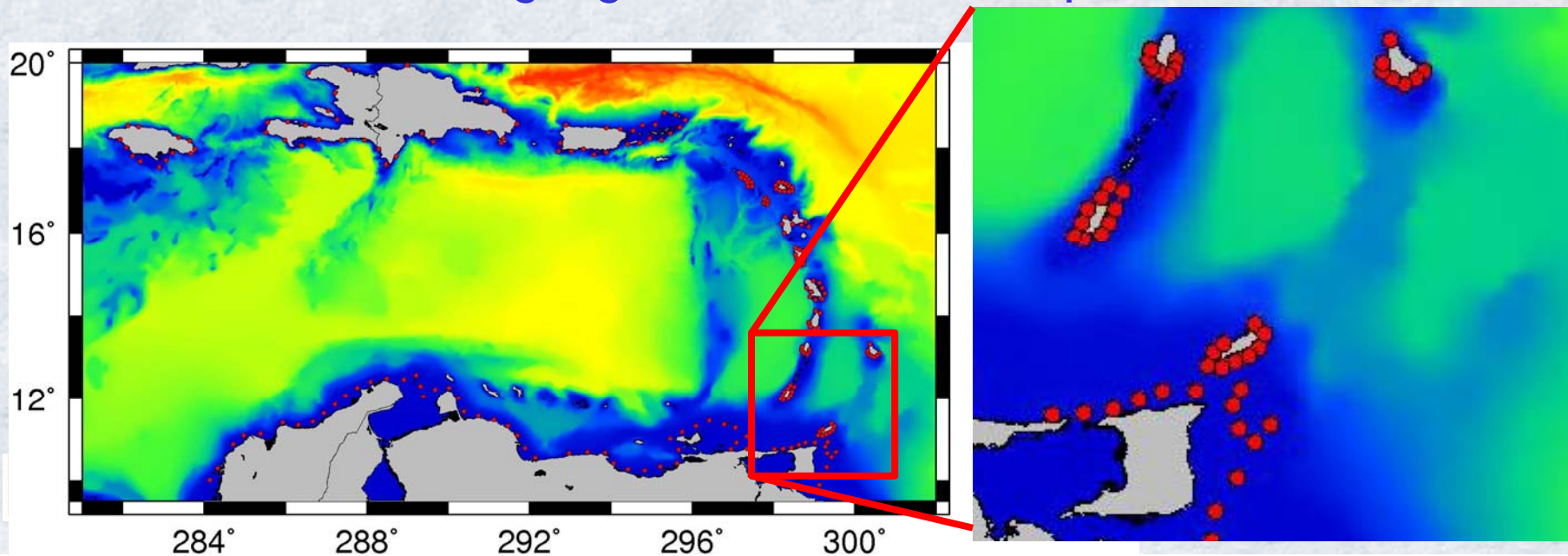
# Probabilities – summing up

- Zahibo & Pelinovsky 2001:
  - Run-up exceeding 2-3 m:  
Return period 100 years
  - All events (cumulative), all kinds of tsunamis
- Earthquakes M8: return period 500 years
- Non-seismic :
  - Return period of smaller events in the northern part of the arc: order of 1000 years
  - Return period of larger events in the southern part of the arc: order of 10 000 years



# Approach for the regional tsunami exposure assessment

- Defining a set of tsunami sources
- Simulate the tsunami propagation (GEBCO 1min grid)
- Extracting data at gauges at depths of 50 m
  - High number of gauges
- At each gauge we want to relate the surface elevation measured at the gauge to on-shore run-up

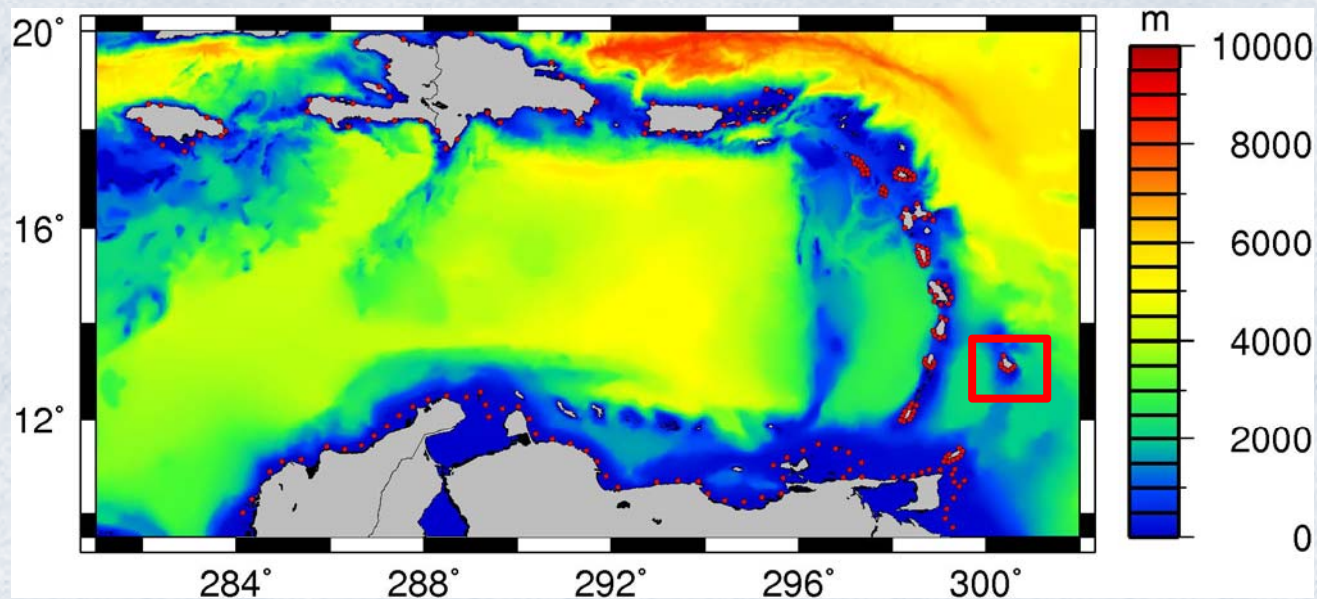


# Considerations

- Combined effects:
  - Sea level rise 0.2-0.5 m (In 2100, IPCC)
  - High tide, daily: 0.5-0.7 m
  - ➔ a waterdepth of 0.7 m is added
- Not taken into account (rare events):
  - Spring tide
  - Storm surges

# Life of a tsunami

- Generation phase (earthq. or slide)
- Tsunami propagation
- Run-up on dry land



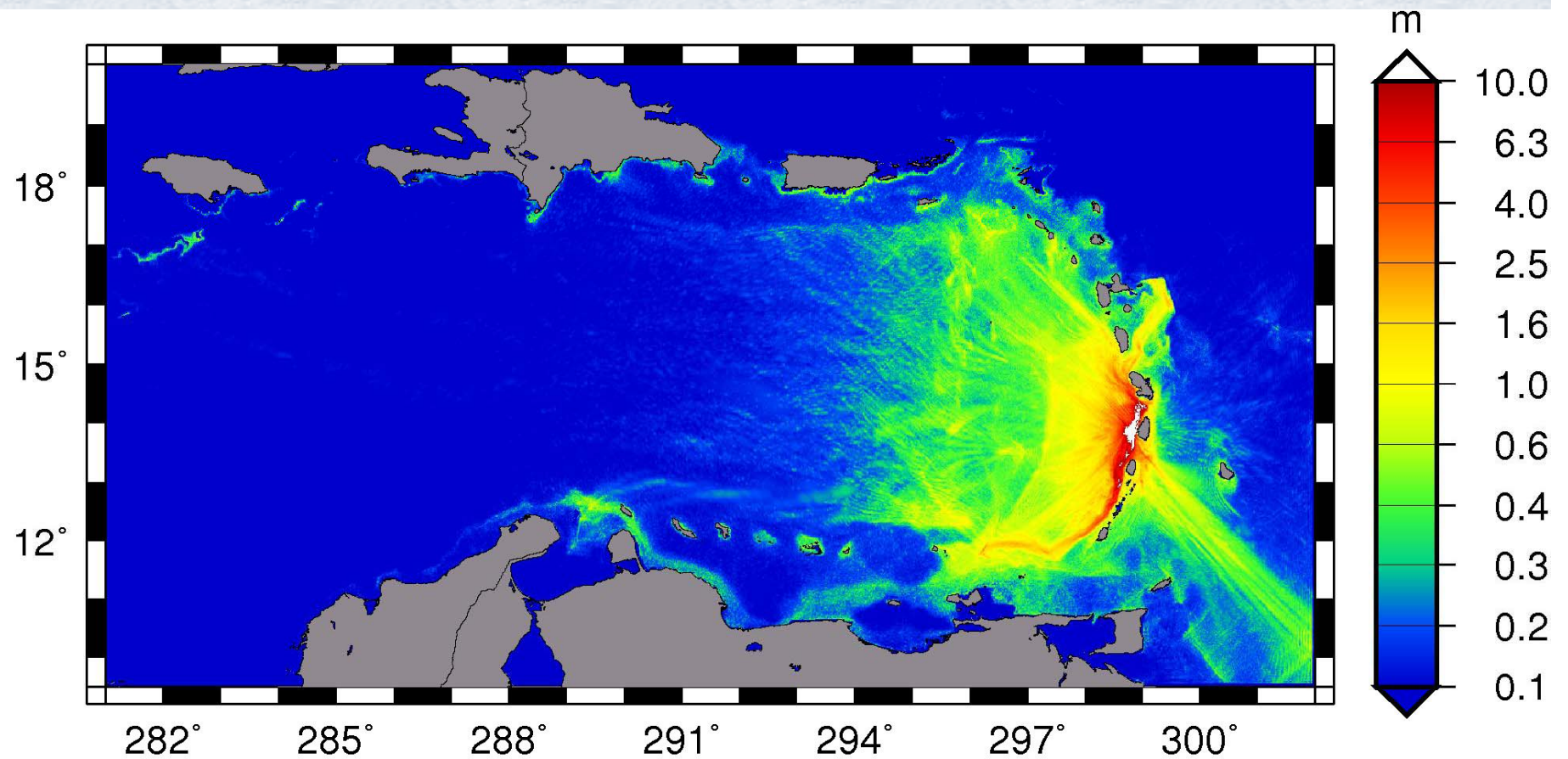
# Tsunami modeling; generation

- Earthquake
  - prescribed initial condition using analytical formula of Okada (1992)
- Slide
  - runout length, velocity progression, slide dimensions
  - compute sink/source distribution (time dependent bottom deformations)

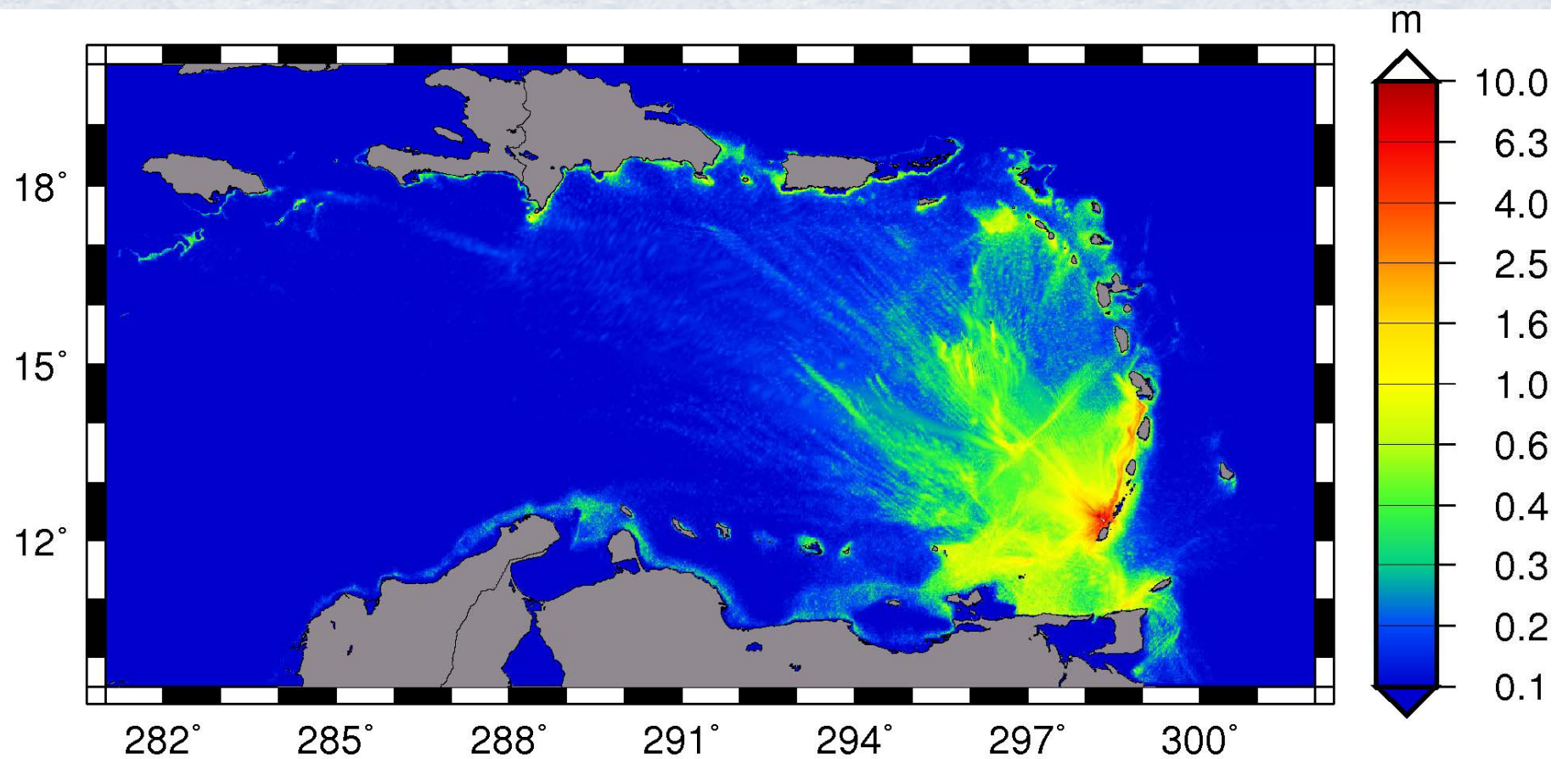
# Tsunami modeling; propagation

- “Globouss” – depth averaged Boussinesq model developed at ICG/UiO/NGI
  - Improved model compared to previous models applied in this project
  - **Dispersive effects** (may be important for tsunamis propagating over long distances in deep water)
  - **Non-linear effects** (most important nearshore)
  - Cartesian or geographical coordinates
  - Coriolis forces and open boundaries
  - No possibility for calculating run-up
    - Noflux condition at shoreline, doubling of the surface elevation

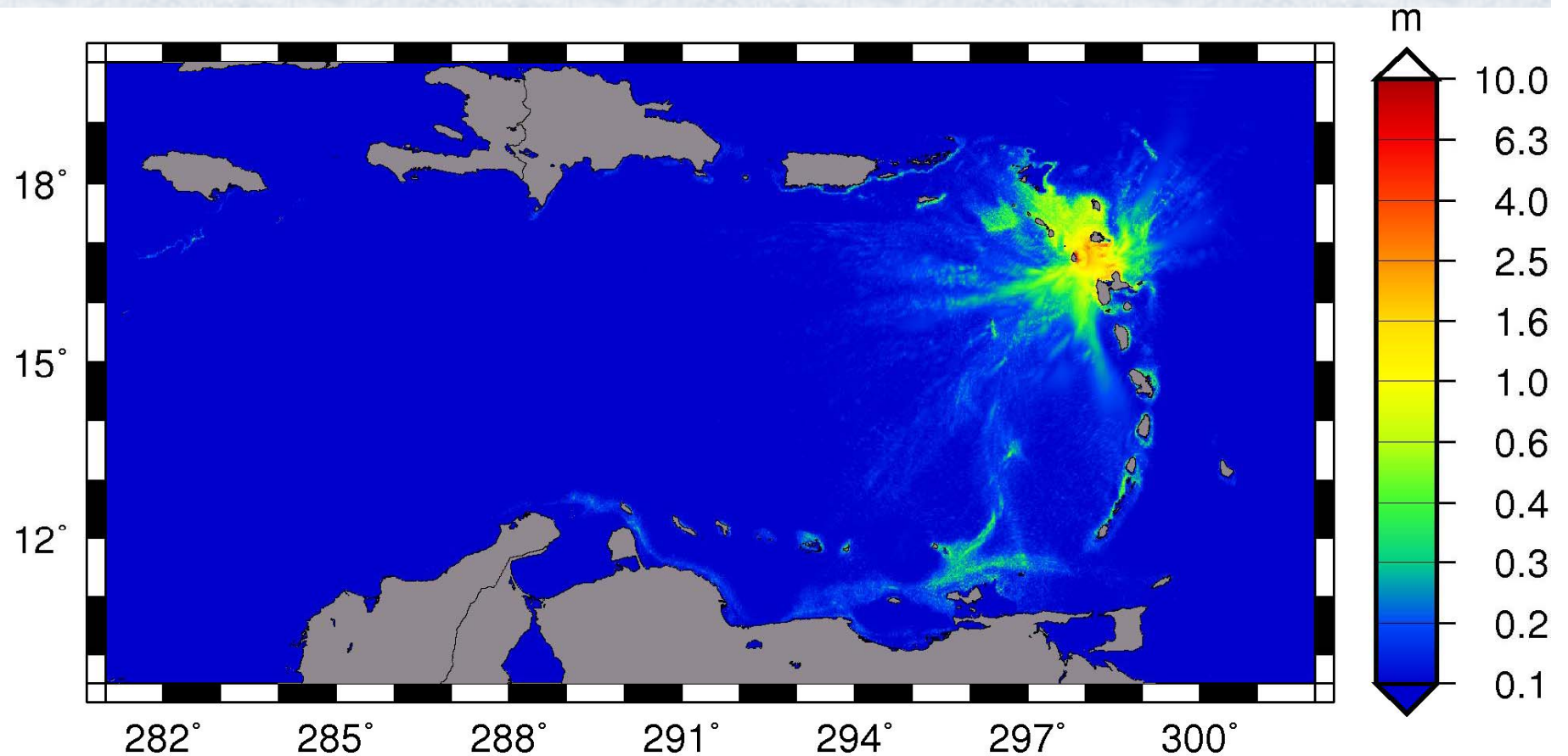
# Tsunami simulation; St. Lucia slide



# Tsunami simulation; Grenada slide

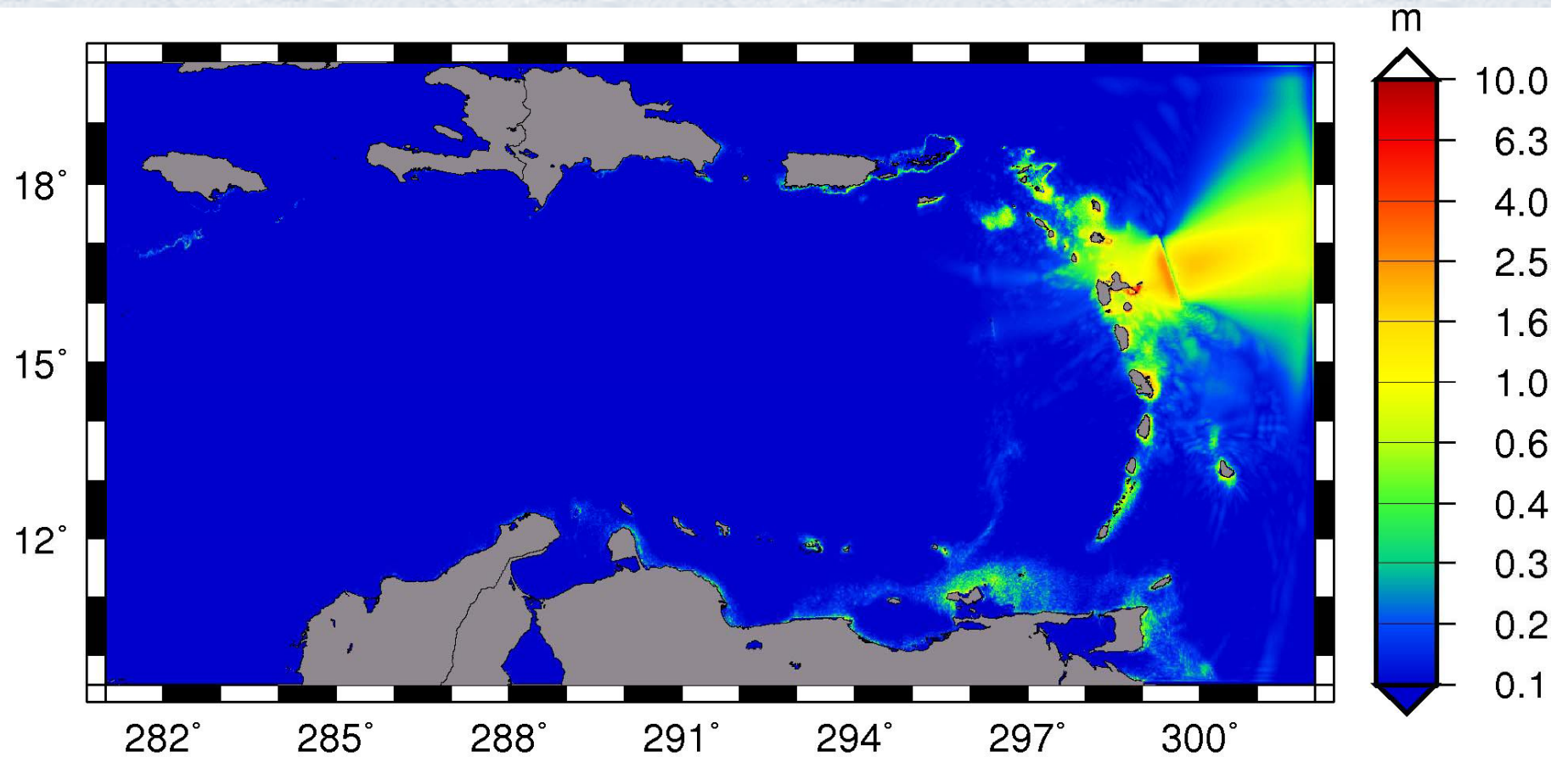


# Tsunami simulation; Montserrat slide

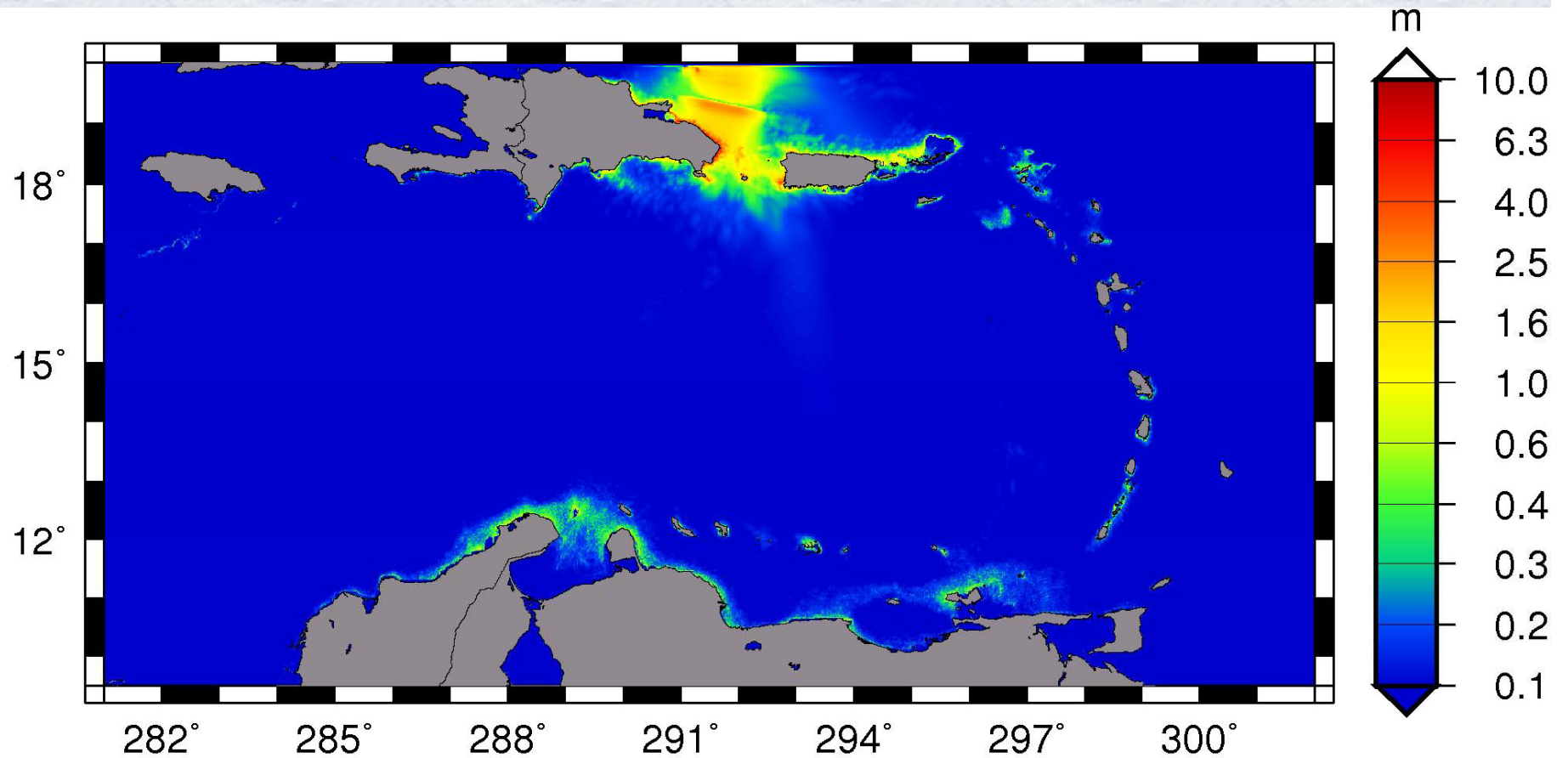




# Tsunami simulation; Lesser eq.



# Tsunami simulation; Hispaniola eq.



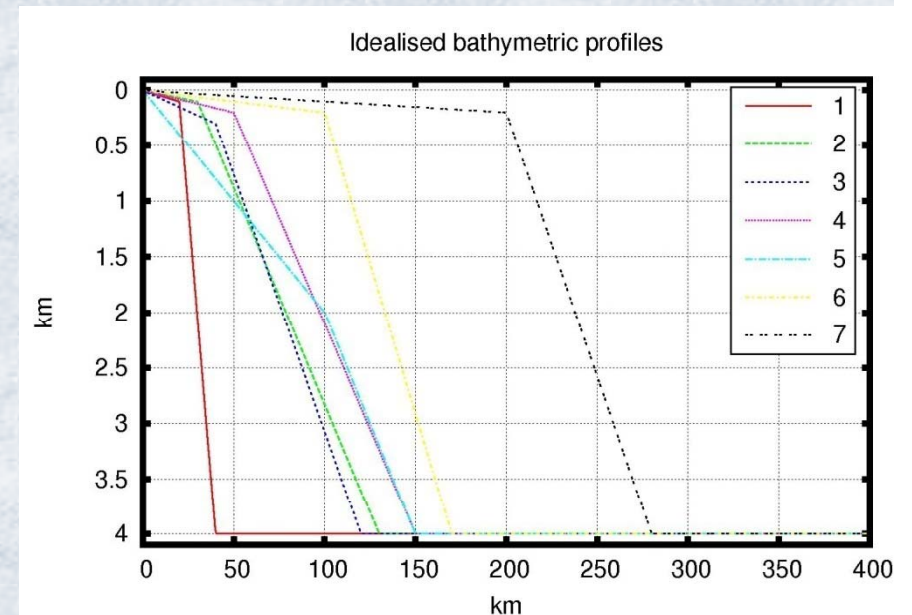
# Method for run-up estimation

- We want to evaluate the surface elevation at several hundred locations
- Too time-consuming to do refined study with run-up models at each location
- Instead we apply *amplification factors* on the off-shore measured elevation to find the approximate on-shore run-up

# Amplification factors

Simulations along 1D profiles:

- Different idealized bathymetric profiles (see figure)
- Linear hydrostatic wave model (runup measured at shoreline)
- N-wave (sinus shaped)
  - Leading depression or leading elevation
- Different wave periods
- Establish a set of amplification factors for different combinations of terrain and wave parameters



# Run-up estimation

- At each gauge we determine
  - The scenario with highest maximum elevation
  - The period of incident wave (set manually)
  - The shape of the incident wave: Leading elevation or leading depression
  - Find the amplification factor based on the period, shape and the bathymetric profile

 RUN-UP = max. elevation x amp. factor

# Summary regional tsunami modeling

- A method for regional tsunami hazard assessment is presented
- Off-shore surface elevation is transformed into on-shore run-up by applying amplification factors
- Amplification factors
  - Based on bathymetric slope
  - Wave characteristics (shape and period)
- Method to be further improved and refined
- Run-up heights are successfully compared to refined numerical run-up modeling

# Regional tsunami exposure

- "Risk = hazard x exposure x vulnerability"
- Separate evaluations for seismic and non-seismic sources due to different orders of return period magnitudes
- Trans-oceanic tsunamis not included
  - Extreme events have even longer return period
  - Longer warning time
- Adding 0.7 m for mean high tide
  - (and a little climatic sea level rise)

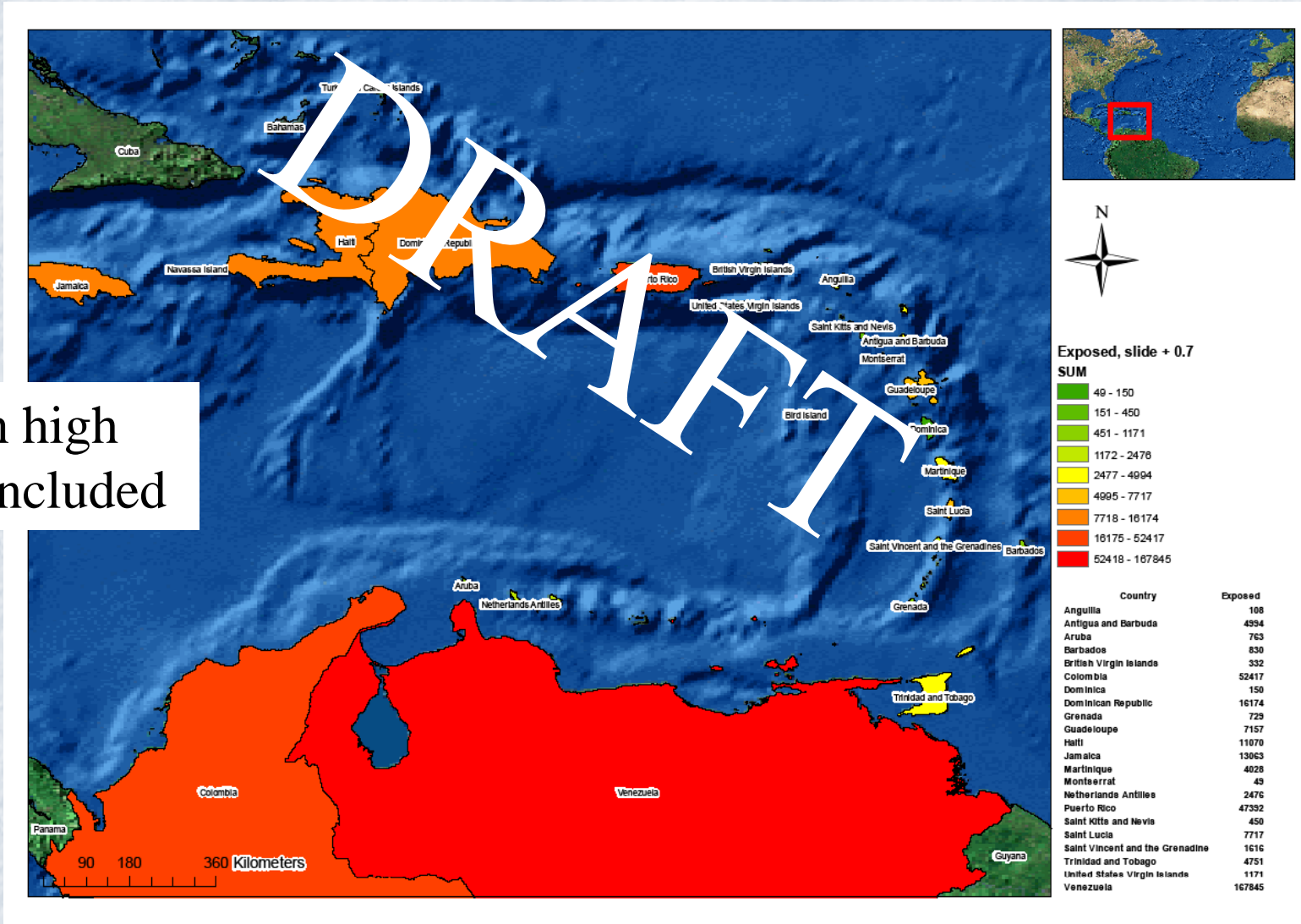
# Regional exposure to seismic tsunamis



Mean high tide included



# Regional exposure to non-seismic tsunamis



Mean high tide included

# Regional exposure to tsunamis, example seismic sources – Puerto Rico



# Regional exposure to tsunamis, example non-seismic sources - St. Lucia



# Local tsunami run-up modelling; ComMIT/MOST

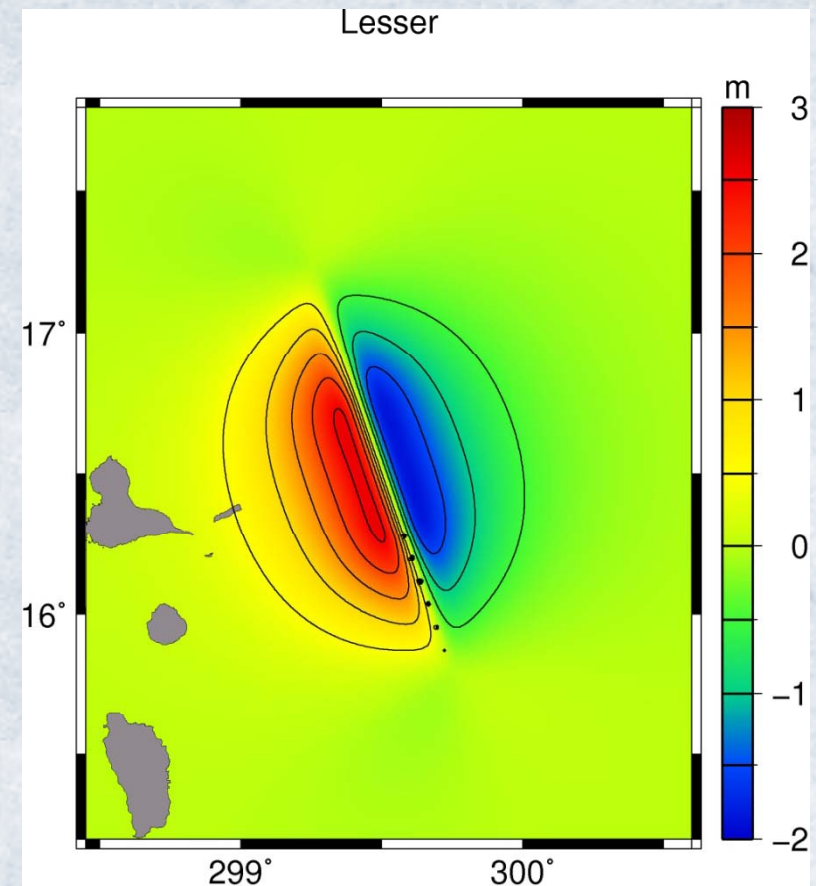
- NLSW equations
- Geographical coordinates
- Most common model for inundation/run-up modeling
- Require high-resolution grid
  - Nesting of grids, three levels
  - Run-up calculated on the finest grid

# Coupling of models

- Own software established for producing inputfiles for ComMIT from tsunami propagation models
  - Propagation matrices for surface elevations and velocity
  - Whole fields stored at each timelevels (can be coarser both in time and space)
  - May now couple any tsunami model at NGI with ComMIT/MOST

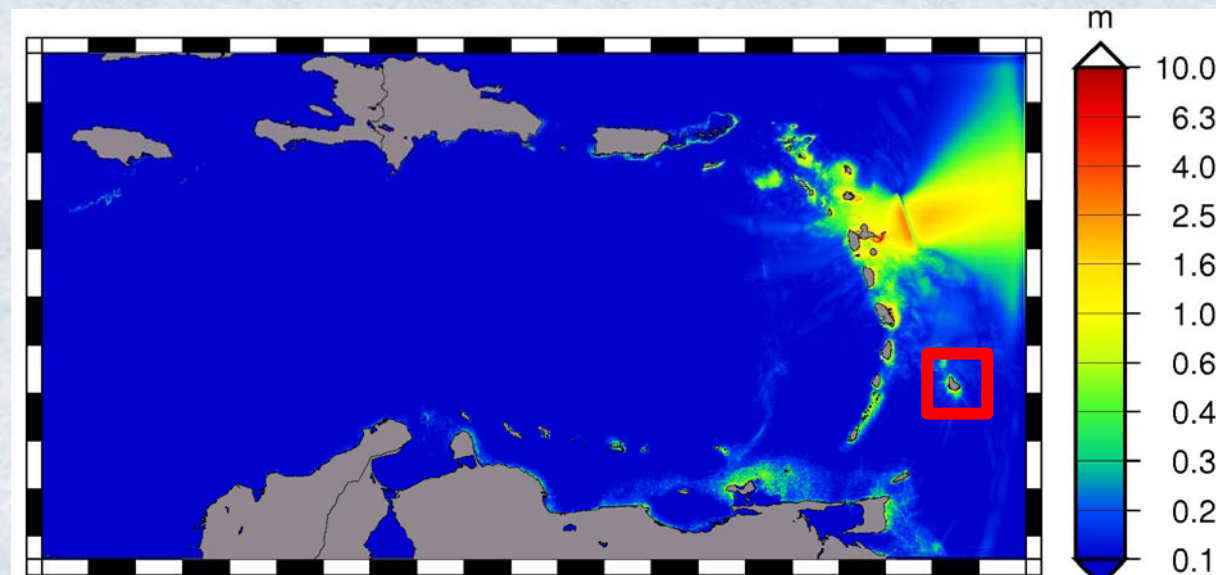
# Run-up at Bridgetown; the tsunami scenario

- Mw 8.0 at Lesser Antilles (highest run-up at Bridgetown of the five scenarios)
- 3 segments,  $L=65$  km,  $W=55$  km, Slip = 6 m for central, 0-6 m for end segments
- Analytical Okada model (1992) applied to convert slip motions to seabed displacements



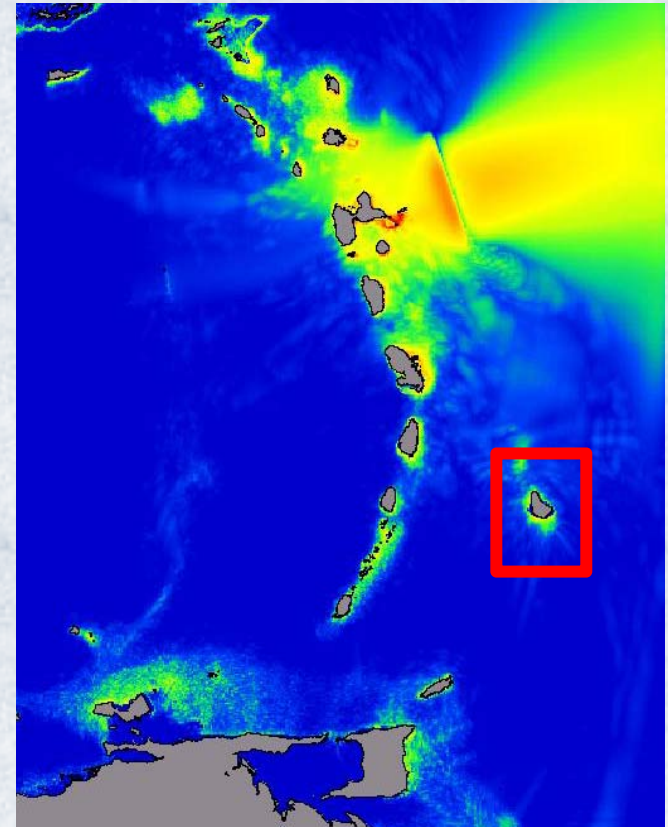
# Tsunami simulation; Lesser earthquake

- Computational domain for the tsunami propagation phase
- Amplification of waves nearshore
- Minor effect of dispersion



# Input to ComMIT/MOST

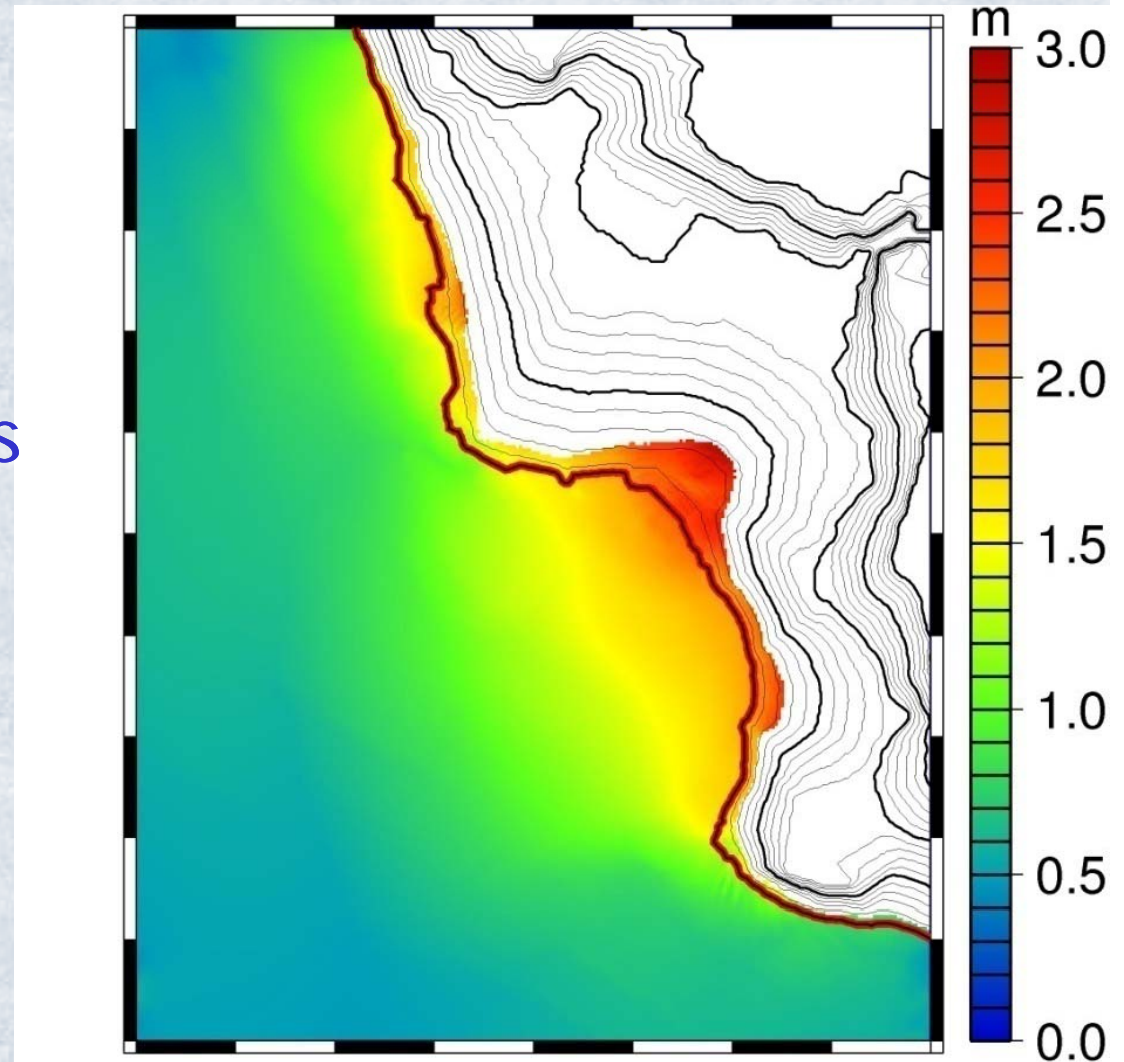
- Values for surface elevation and velocities extracted from the tsunami propagation model
- Stored in netcdf-format
- High-resolution grid of Bridgetown





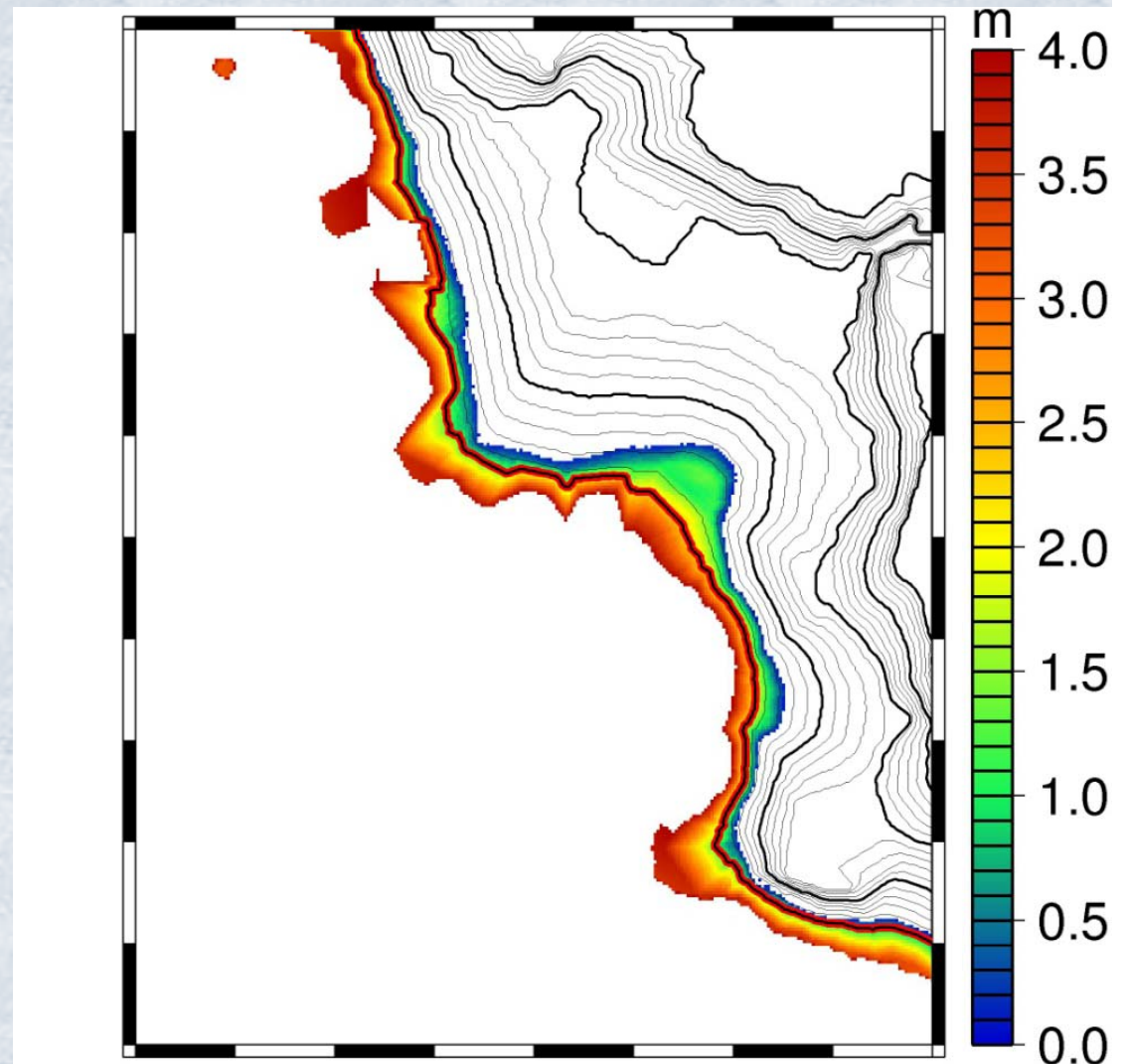
# Maximum surface elevation

- Run-up 2-3 m
- Highest run-up about 3 m
- Large local variations
- At the shoreline, maximum elevation 1.5 to 2.5 m



# Maximum flowdepth

- Height of water above ground
- 2-2.5 m maximum flowdepth on shoreline



# Summary; local run-up calculations

- The tsunami run-up from the Lesser earthquake scenario is evaluated
- Max run-up was calculated to approx. 3 m (high tide and sea-level rise; totally 0.7m above “Mean Lower Low Water” - MLLW)
- Large local variations

# Local tsunami risk demonstration project for Bridgetown, Barbados



# Tsunami hazard and risk maps

- For warning:
  - Inundation height
  - Highly populated / vulnerable areas
  - Critical facilities
  - Areas to be evacuated
  - Escape routes
  - Elevated / safe areas
  - Personnel to be warned
- For coping capacity (short term / long term)
  - Inundation height
  - Critical facilities
- For area planning:
  - Inundation height or momentum flux (loads – for design)
  - Previous events
  - Highly populated / vulnerable areas
- For risk comparison and preferences
  - Detailed
  - Quantitative
  - Preferably also economic losses

## Bridgetown tsunami risk assessment

- Risk = Hazard \* Consequence
  - Hazard = maximum tsunami flow height related to a certain probability of occurrence
  - Consequence described by vulnerability and by density of population (exposure)
    - Vulnerability = 4 factors describing the buildings:
    - Height – material – barrier - use

# Input data to produce consequence map

Dataset	Datatype	Description	Origin
Buildings	Polygon	Outline of all buildings	Official
BuildPoint	Point	Building centerpoints, derived from "Buildings"	Derived
EnumDist	Polygon	Statistics for each enumeration district	Official
Study_Area	Polygon	Outline of the defined study area, below 10 meter a.s.l.	Digitized
BuildVul	Point	Vulnerability information of 1211 buildings within study area	Field work
Score	Table	Mapping table, giving the vulnerability score (1-4) for each of the four building factors: height, barrier, material, use	Nadia

HeightCode	HeightVulnerabilityScore	Description
1	4	Only one floor
2	2	2 floors
3	1	3 or more floors

BarrierCode	BarrierVulnerabilityScore	Description
1	4	No barrier
2	3	Low/narrow earth embankment
3	2	Low concrete wall
4	1	High concrete wall
5	2	Low stone wall
6	1	High stone wall

## Mapping tables with vulnerability scores

MaterialCode	MaterialVulnerabilityScore	Description
1	2	Stone
2	4	Wood or timber
3	3	Wood + concrete
4	1	Concrete
5	2	Metal
6	3	stone and wood
7	2	concrete/metal
8	3	concrete/stone

UseCode	UseVulnerabilityScore	Description
1	1	Residential/community service
2	3	Business/Commercial
3	4	Tourism
4	10	Government Services (Health, Education, Fisheries, transport)
5	10	Emergency Services (Police, Fire, Coast Guard, EMS, medical)
6	5	Community facilities (e.g. churches, community centers, recreation)
7	10	Utilities (water, electricity, sewage, telecommunications, fuel, gas)
8	2	Heritage Sites
9	5	Banking and finance
10	0	Abandoned



# Mapping "subtables" for building use 'utilities'

UtilMatCode	UtilMatVulnerabilityScore	Description
2	3	Wood or timber
5	2	Metal
7	2	Concrete and Metal
4	1	Concrete

*Mapping table for building material for utilities*

UtilLocCode	UtilLocVulnerabilityScore	Description
1	3	Above ground
2	2	Both above ground and below
3	1	Underground

*Mapping table for building location for utilities*

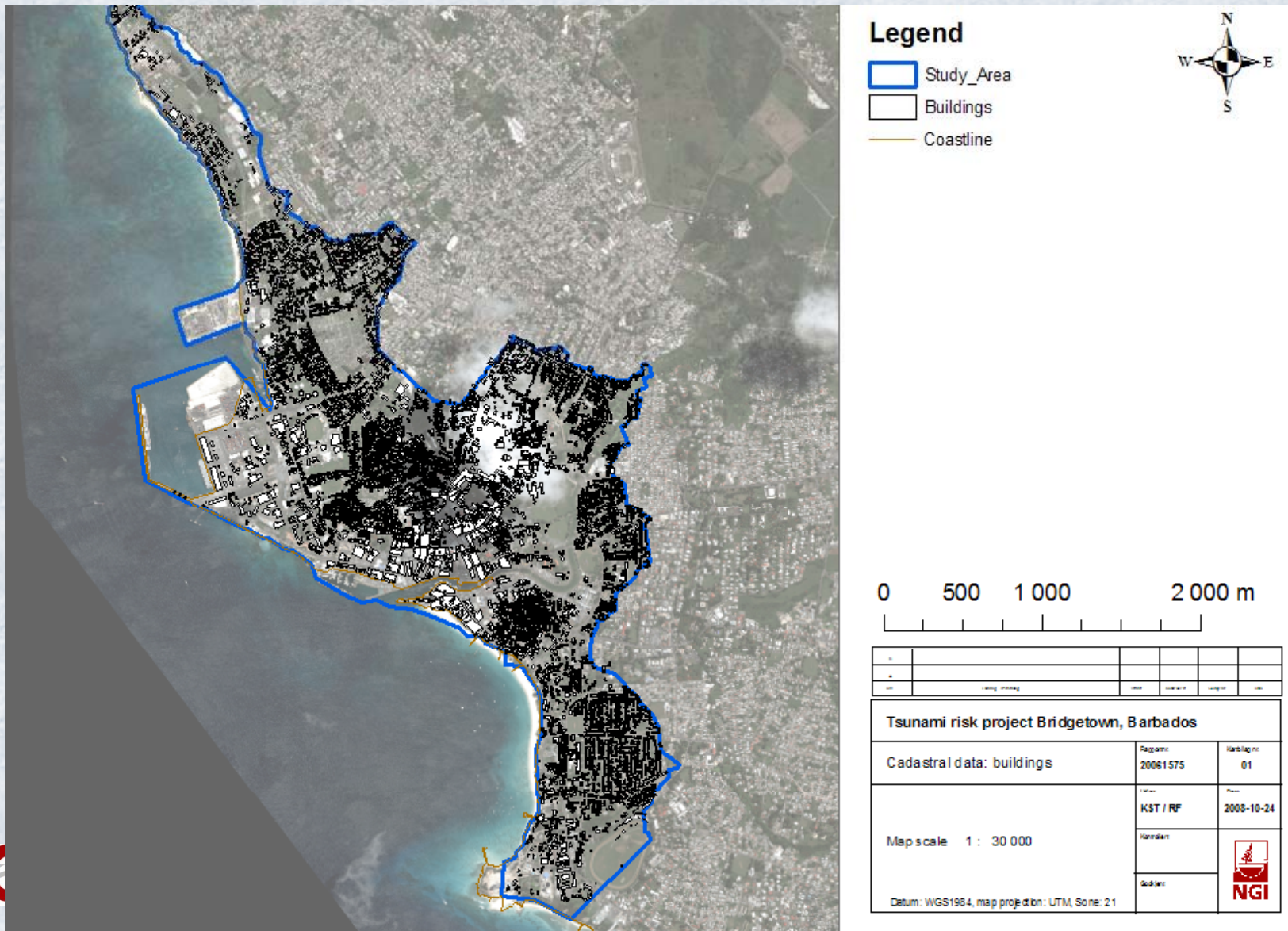
UtilBarCode	UtilBarVulnerabilityScore	Description
1	4	No barrier
2	3	Low/narrow earth embankment
3	2	Low concrete wall
4	1	High concrete wall
5	2	Low stone wall
6	1	High stone wall

*Mapping table for building barrier for utilities*

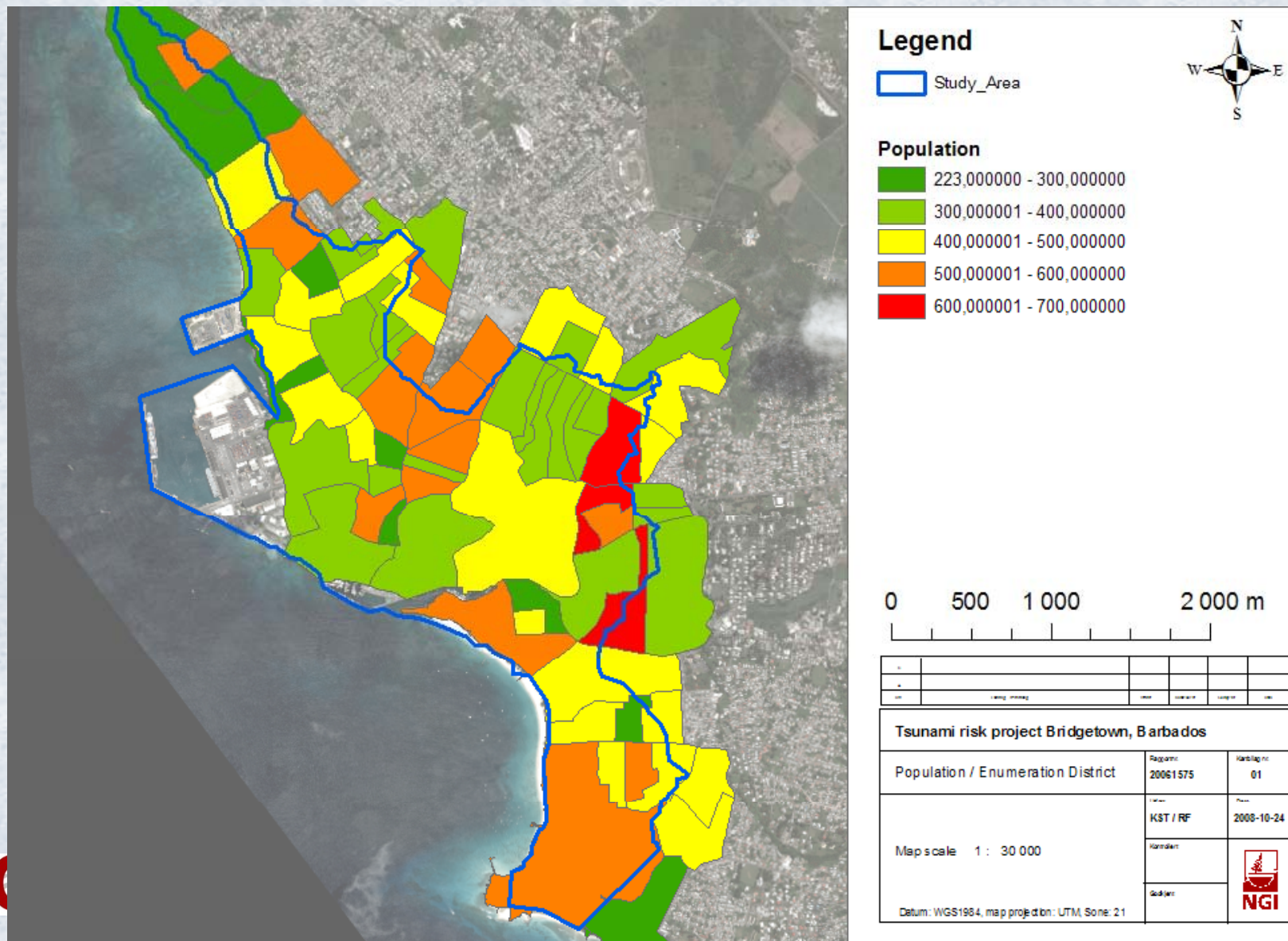
# Problems encountered

- Buildings neither randomly selected nor randomly distributed
  - distribution of buildings not "statistically correct"
  - Need to "extrapolate" information
- No link between surveyed buildings (GPS positioned) and "official building outlines"

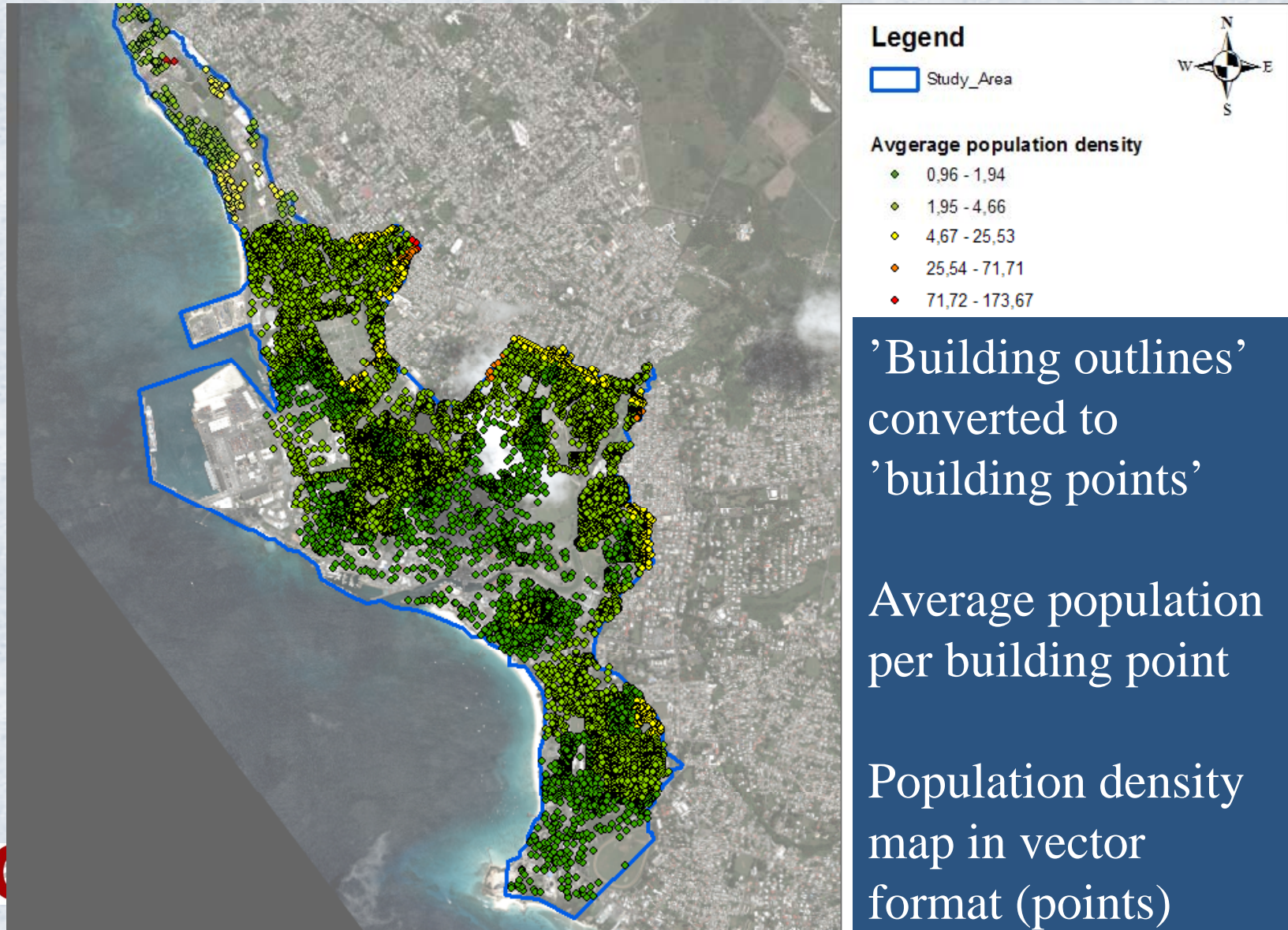
# Generation of population density map



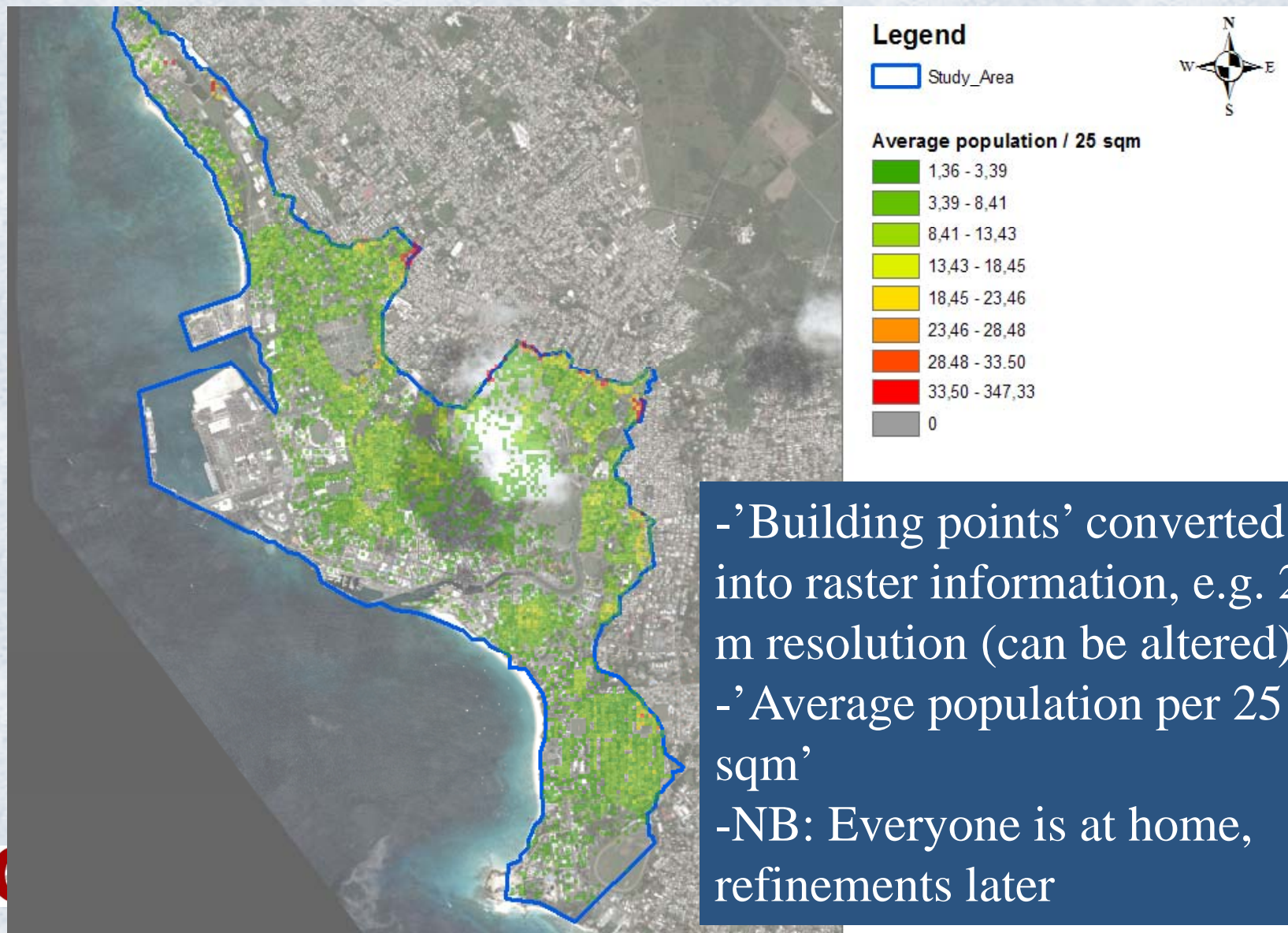
# Generation of population density map



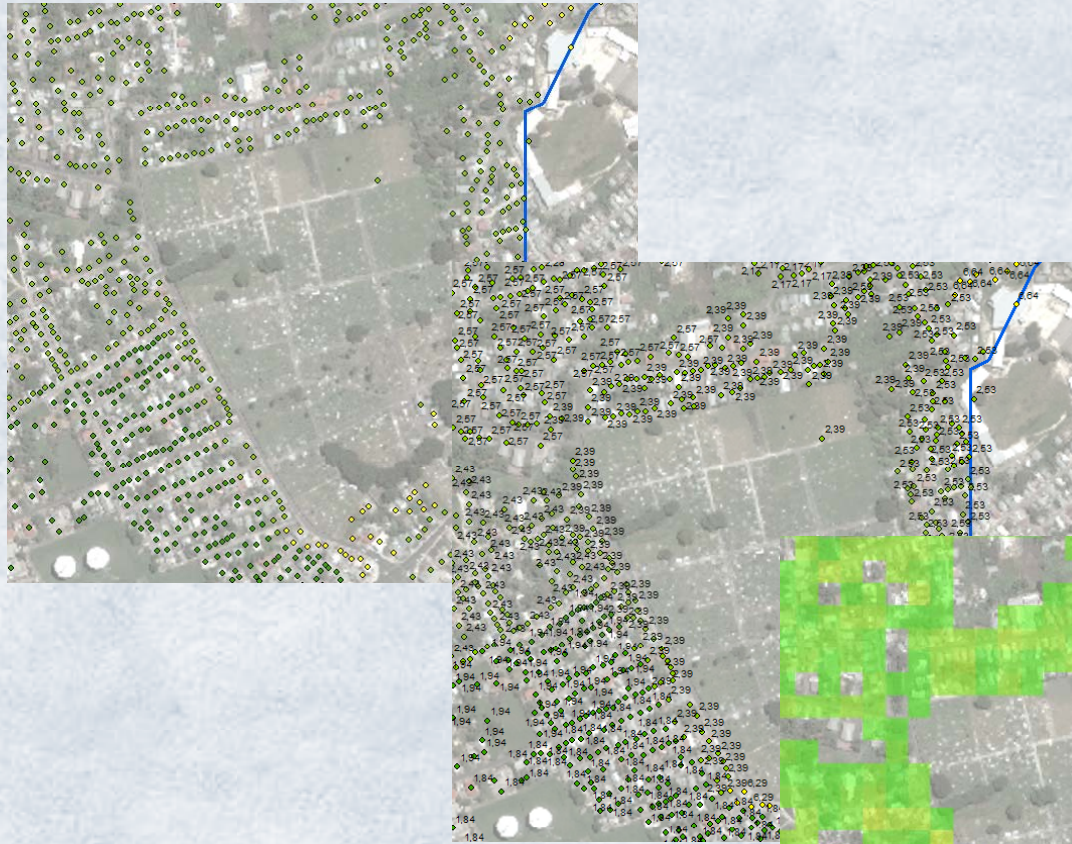
# Generation of population density map



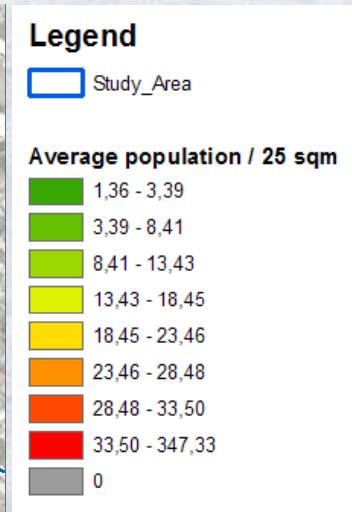
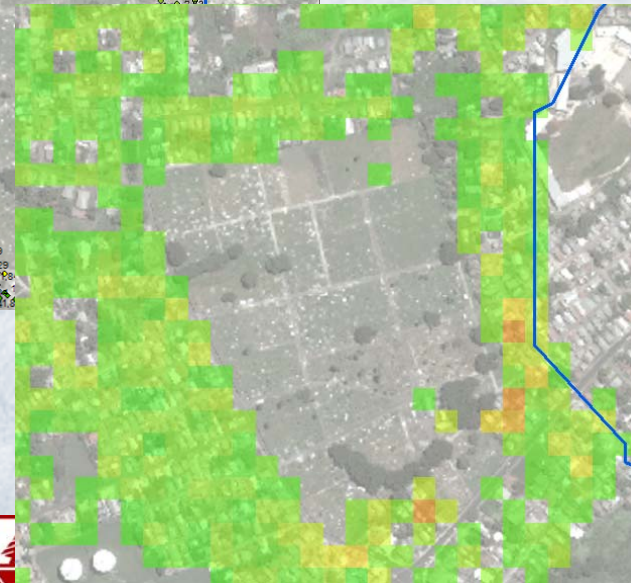
# Final product: population density map



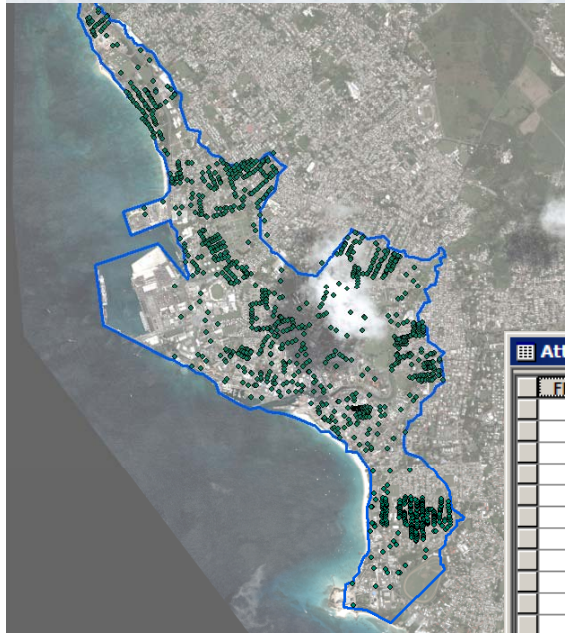
# Population density map (zoom-in)



Same as before, but zoomed in around the cemetery (?).



# Generation of coping capacity map



**Legend**



Building use	Code
Residential/community service	1
Business/Commercial	2
Tourism	3
Government Services (Health, Education, Fisheries, Transportation, etc.) <sup>1</sup>	4
Emergency Services (Police, Fire, Coast Guard, EMS, medical etc.)	5
Community facilities (e.g. churches, community centers, recreational areas) <sup>2</sup>	6
Utilities (water, electricity, sewage, telecommunications, fuel, gas stations) <sup>7</sup>	7
Heritage Sites <sup>3</sup>	8
Banking and finance	9
Abandoned <sup>4</sup>	11

FID	OBJECTID	Building N	N	E	Use	Comments
33	34	Bay_Shore_Complex	216705	1448933	2	
34	35	Government_Electrical_Engineering_Department	216722	1448945	4	
35	36	The_Boatyard	216735	1448919	2	
36	37	St.John's_Ambulance_Services	216755	1448937	5	
37	38	Coles_Building	216665	1449009	4	School_meals_dept/Barbados_Light_and_Po
38	39	Waterfront_Cafe	216505	1449171	2	
39	40	Barbados_National_Bank	216650	1449167	9	
40	41	Bank_of_Nova_Scotia	216650	1449167	9	
41	42	Treasury_Building	216622	1449272	4	Government_Departments
42	43	Musson_Building	215976	1449344	4	Ministry_of_Finance_and_Economic_Affairs
43	44	Ministry_of_Agriculture_Fisheries_Division	215917	1449344	4	
44	45	Barbados_Agricultural_Development_and_Marketing	215863	1440360	4	
45	46	Bridgetown_Fish_Complex	215695	1449375	4	
46	47	Pelican_Village	215468	1449497	3	
47	48	Port_of_Barbados	215228	1449612	4	
48	49	Bico_Ice_Cream_factory	215289	1449976	2	
49	50	Port_Authority_Headquarters	215226	1450269	4	
50	51	Atlantis_Submarines	215330	1450497	2	
51	52	Central_Purchasing_Department	215399	1450377	4	
52	53	Barbados_Coast_Guard_services	214941	1451002	5	

- 1211 buildings surveyed by Nadia Gour, among others: 'use'
- 10 'use categories'
- and recreational areas (beaches, parks) are category 11



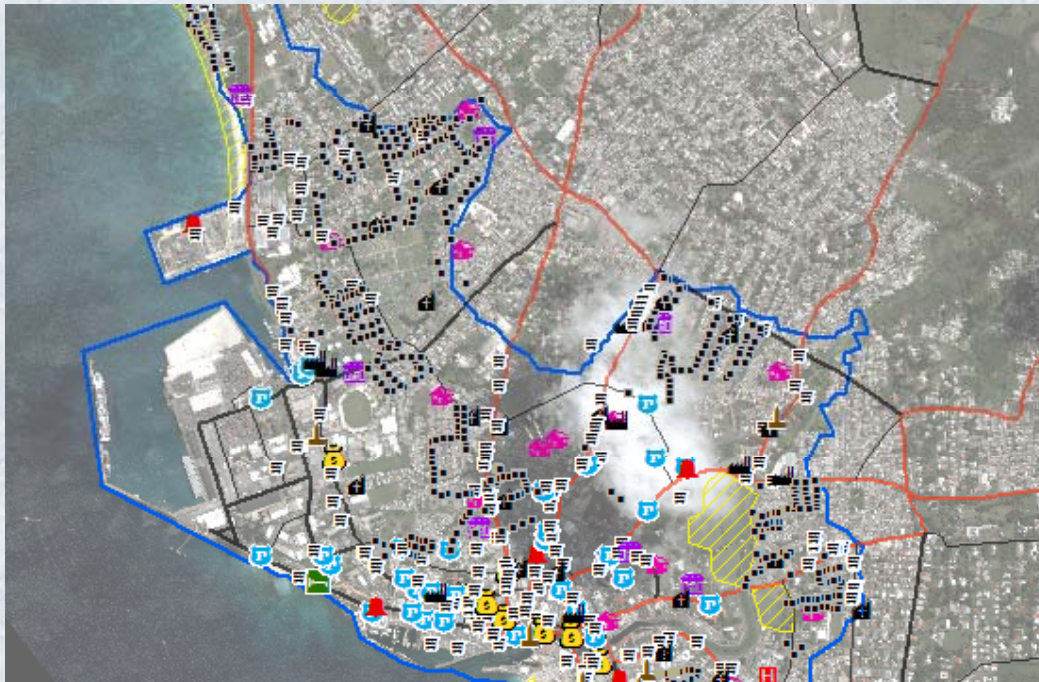
65	66	Berger_Paints_Limited	215299	1451016	2
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Record: 0 Show: All Selected Records (0 out of 1211 Selected) Options





# Side-product: critical facility map (for 1211 surveyed buildings)

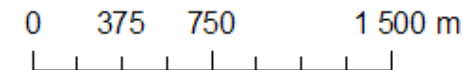


## Legend

- Study\_Area
- Recreational areas

- Use Category (code)**
- Hospital, clinics (4)
  - Emergency services (5)
  - Gov. services (4)
  - Banking and finance (9)
  - Utilities (7)
  - Community facilities (6)
  - Schools, preschools (4)
  - Churches, temples, mosque (6)
  - Tourism (Hotels, etc.) (3)
  - Heritage sites (6)
  - Business/commercial (2)
  - Residence (1)
  - Abandoned (10)

- Roads**
- Highway
  - Mainroad
  - Secondary road

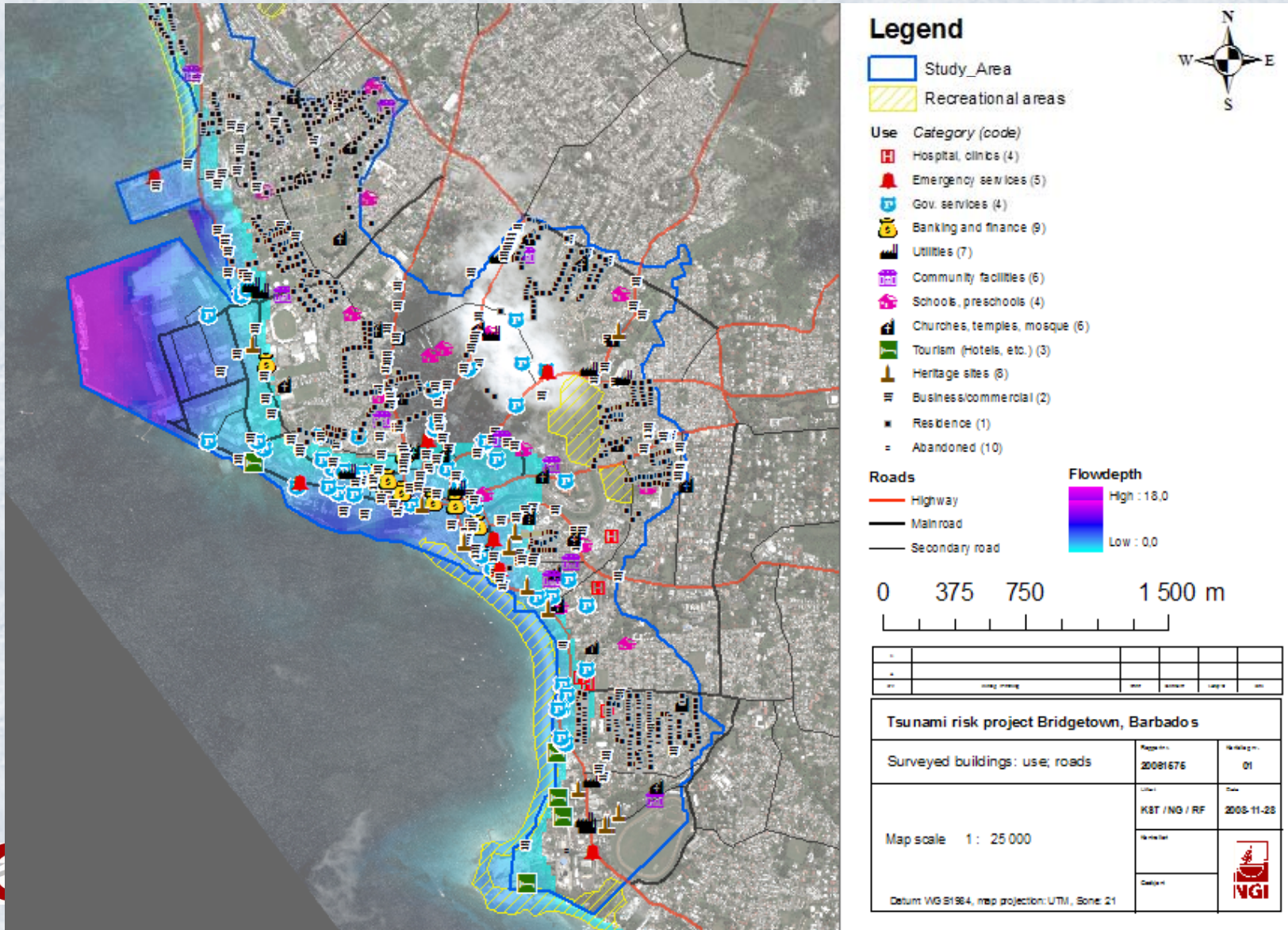



<b>Tsunami risk project Bridgetown, Barbados</b>		
Surveyed buildings: use; roads	Region: 20081676	Building: 01
Map scale 1 : 25 000	User: KBT / NG / RF	Date: 2008-11-28
	Author: 	
Datum: WGS1984, map projection: UTM, Zone: 21		

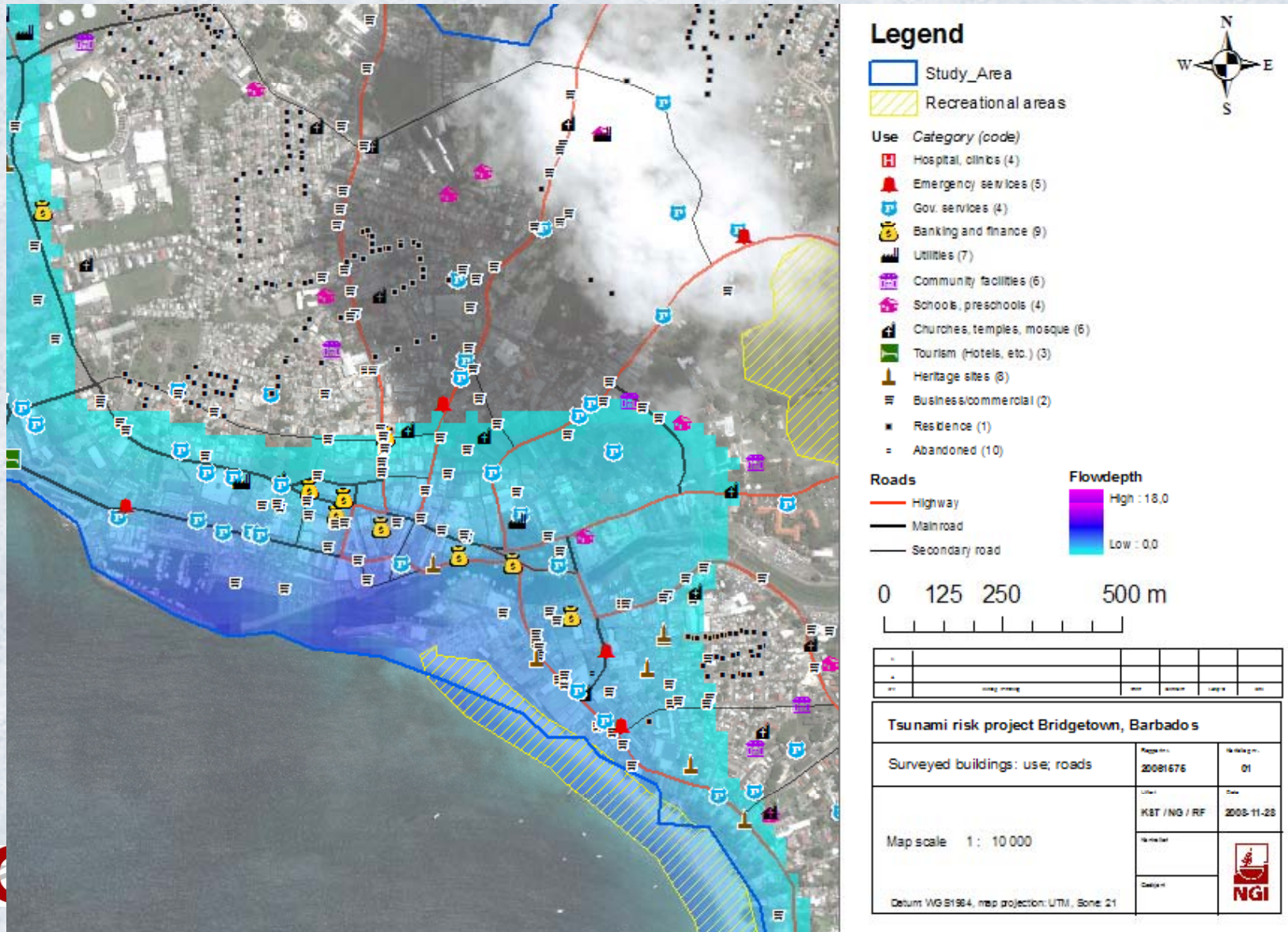


- 'Building use can be more refined, e.g. hospitals/universities (Gov)
- Here: not combined with information from cadastral data (to avoid that 1211 buildings appear twice)
- combine with tsunami flow depth

# “Final side product”: coping capacity map



# “Final side product”: coping capacity map (zoom-in)



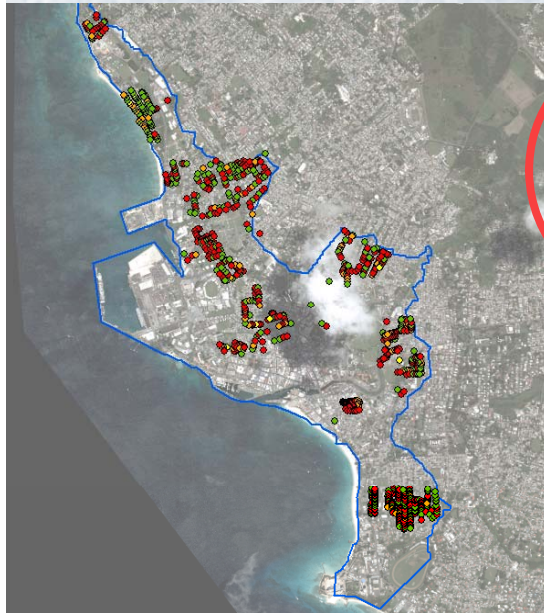
# “Final side product”: coping capacity map

- Result for scenario “High-water plus Tsunami wave”:
  - Banking & finance sector would be considerably affected (8 out of 8 surveyed banking & finance buildings would be affected)
  - Emergency services would be considerably affected (4 out of 7 surveyed emergency services would be affected)
  - This does, however, not include hospital and clinics, as they are treated as an own subclass in this example
  - Commercial sector in city centre (around river mouth) would be considerably affected
  - Coastal road would be unserviceable within almost entire study area
  - Tourism would be considerably affected, because harbour, beaches, and many heritage sites would be affected

# Coping capacity map: possible refinements

		Critical Facility Maps <sup>12</sup>	
Building use	Code	Short term/acute	Long term <sup>14</sup>
Residential/community service	1	1,00	1,00
Business/Commercial	2	1,00	1,00
Tourism	3	1,00	1,50
Government Services (Health, Education, Fisheries, Transportation, etc.) <sup>1</sup>	4	1,50	1,50 <sup>11</sup>
Emergency Services (Police, Fire, Coast Guard, EMS, medical etc.)	5	1,50	1,00
Community facilities (e.g. churches, community centers, recreational areas) <sup>2</sup>	6	1,5 <sup>2</sup>	1,00
Utilities (water, electricity, sewage, telecommunications, fuel, gas stations) <sup>7</sup>	7	1,50	1,00
Heritage Sites <sup>3</sup>	8	1,00	1,00
Banking and finance	9	1,00	1,50
Abandoned <sup>4</sup>	10	1,00	1,00
Open/public areas, streets, beaches <sup>5,6</sup>	11	1 or 1,5 <sup>13</sup>	1,00
Total			

# Generation of vulnerability map



**Legend**

Study Area

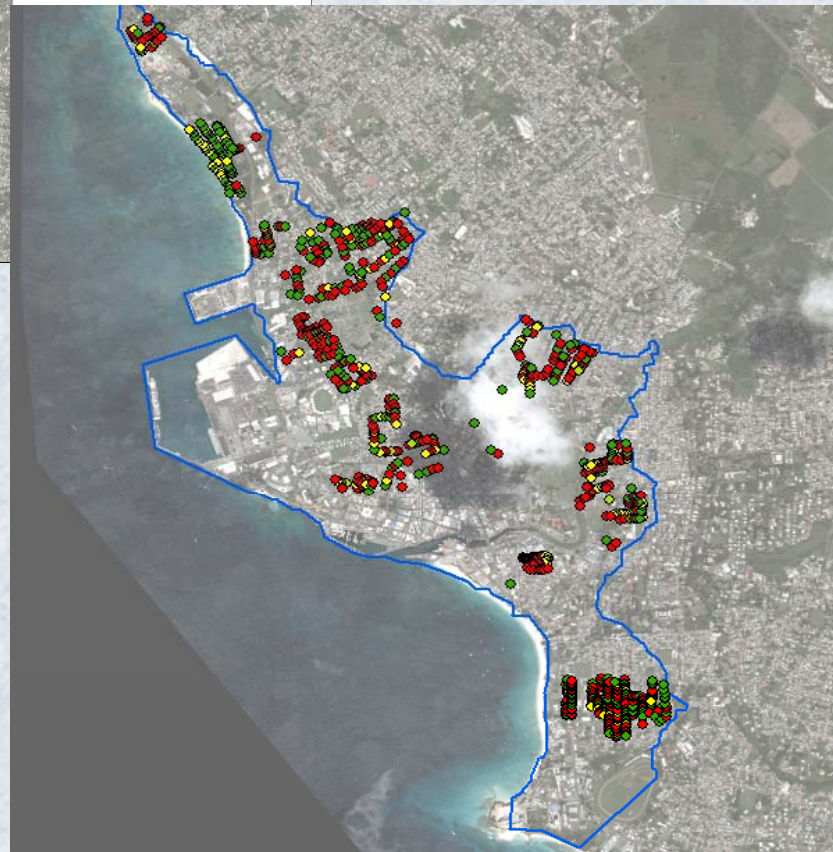
**Residencies: material**

- Wood or timber
- Wood + concrete
- Concrete/stone/glass
- Stone and wood
- Stone
- Metal
- Concrete/metal
- Concrete



Material Code	Material Vulnerability Score	Description
1	2	Stone
2	4	Wood or timber
3	3	Wood + concrete
4	1	Concrete
5	2	Metal
6	3	stone and wood
7	2	concrete/metal
8	3	concrete/stone/glass

Mapping table for building material

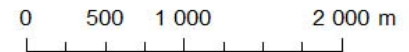


**Legend**

Study Area

**Residence: material vulnerability**

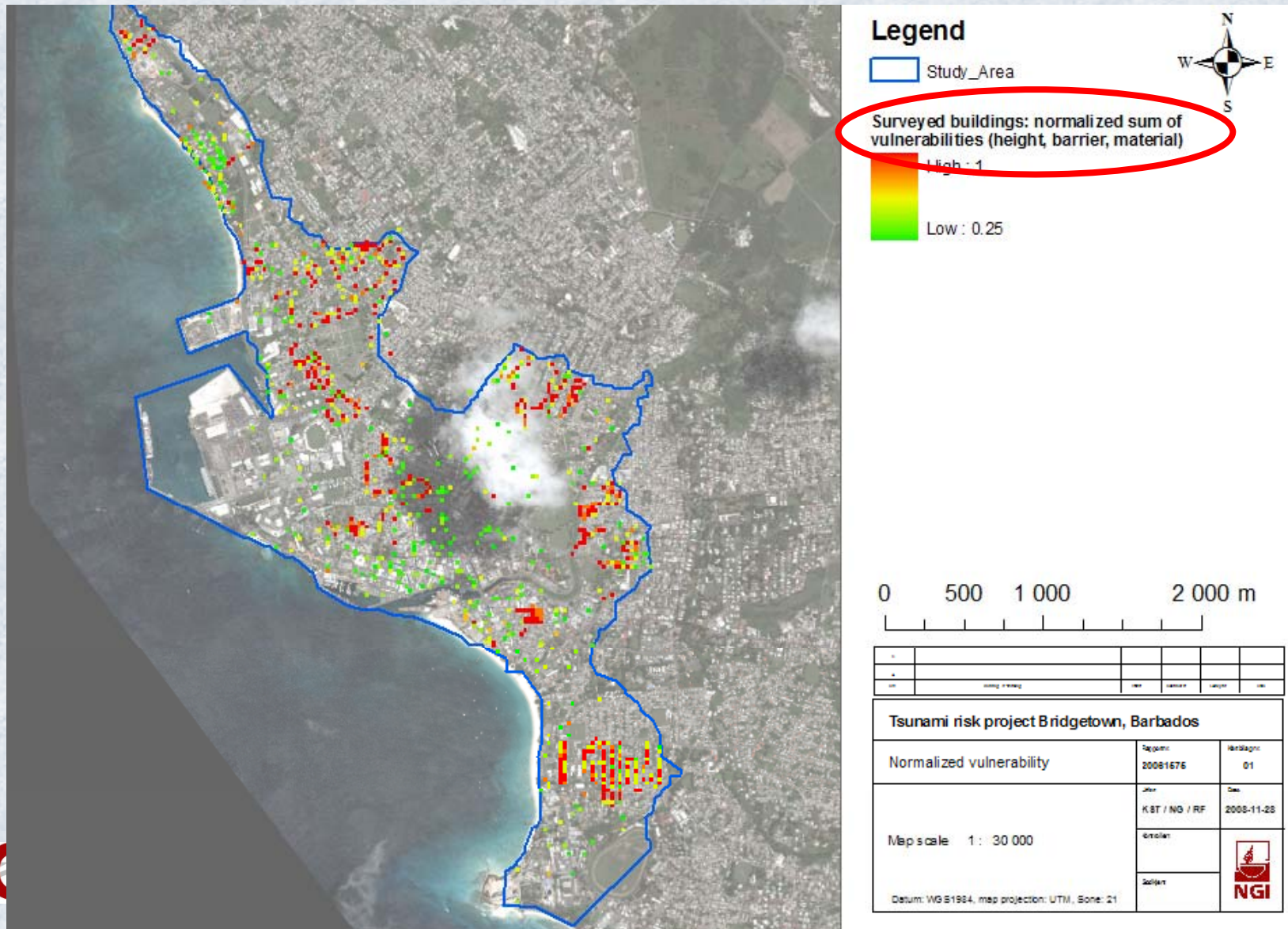
- 1
- 2
- 3
- 4



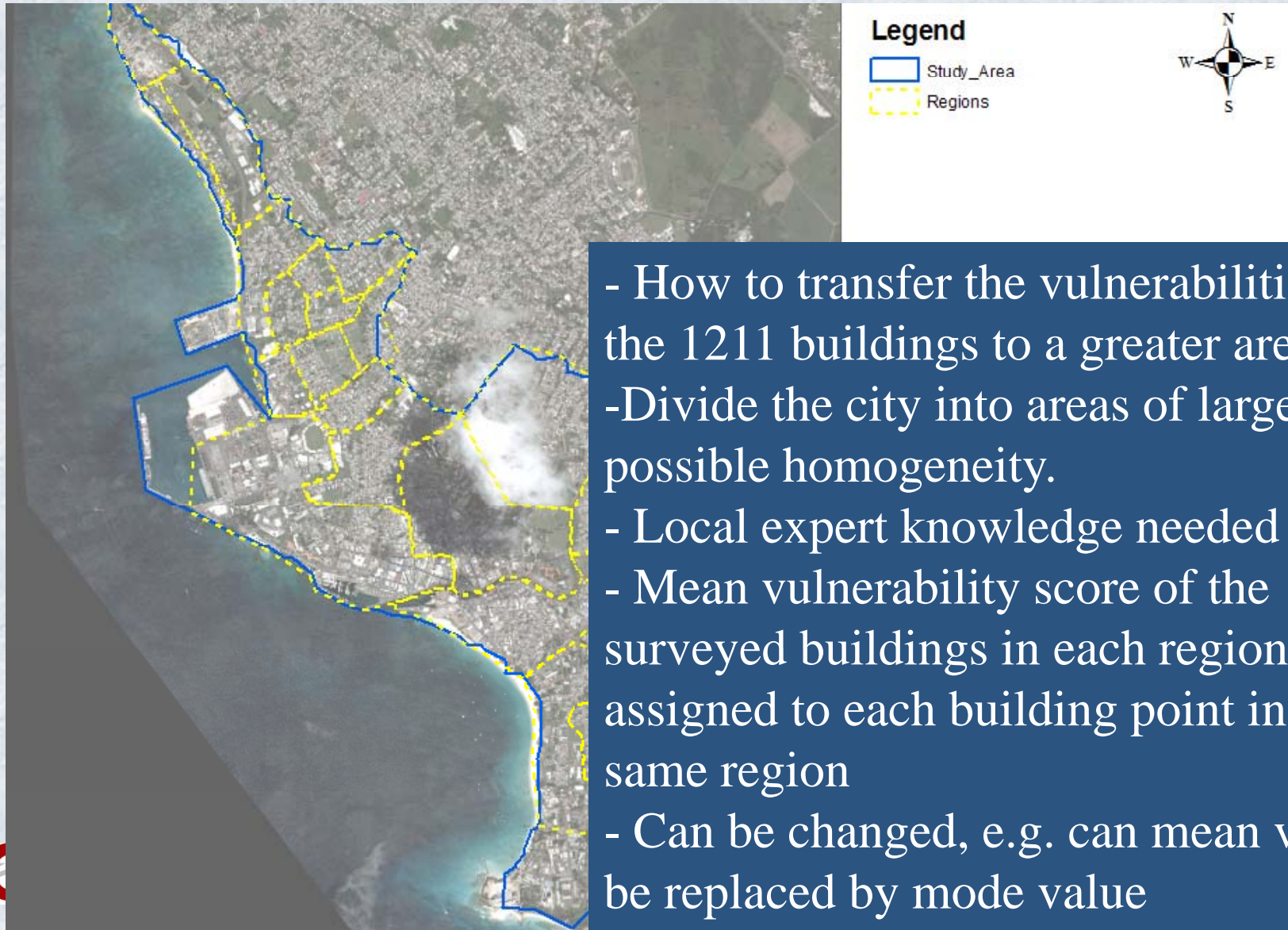
Tsunami risk project Bridgetown, Barbados		
Residencies: material vulnerability	Requiere: 20081676	Version: 01
Map scale 1 : 30 000	Uso: K BT / NG / RF	Fecha: 2008-11-28
Datum: WGS1984, map projection: UTM, Zone: 21		



# Generation of vulnerability map



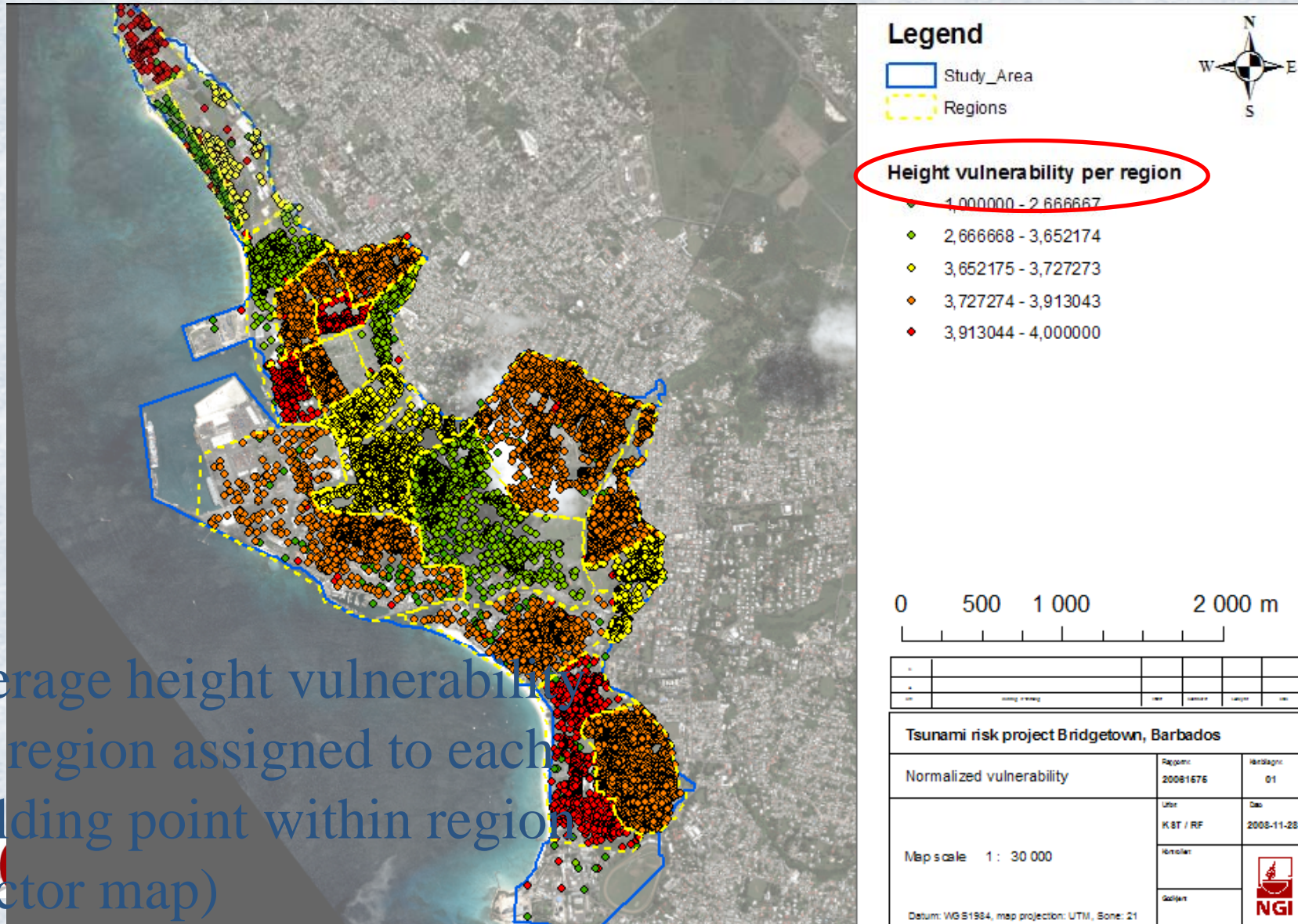
# Generation of vulnerability map



- How to transfer the vulnerabilities of the 1211 buildings to a greater area?
- Divide the city into areas of largest possible homogeneity.
- Local expert knowledge needed
- Mean vulnerability score of the surveyed buildings in each region is assigned to each building point in the same region
- Can be changed, e.g. can mean value be replaced by mode value



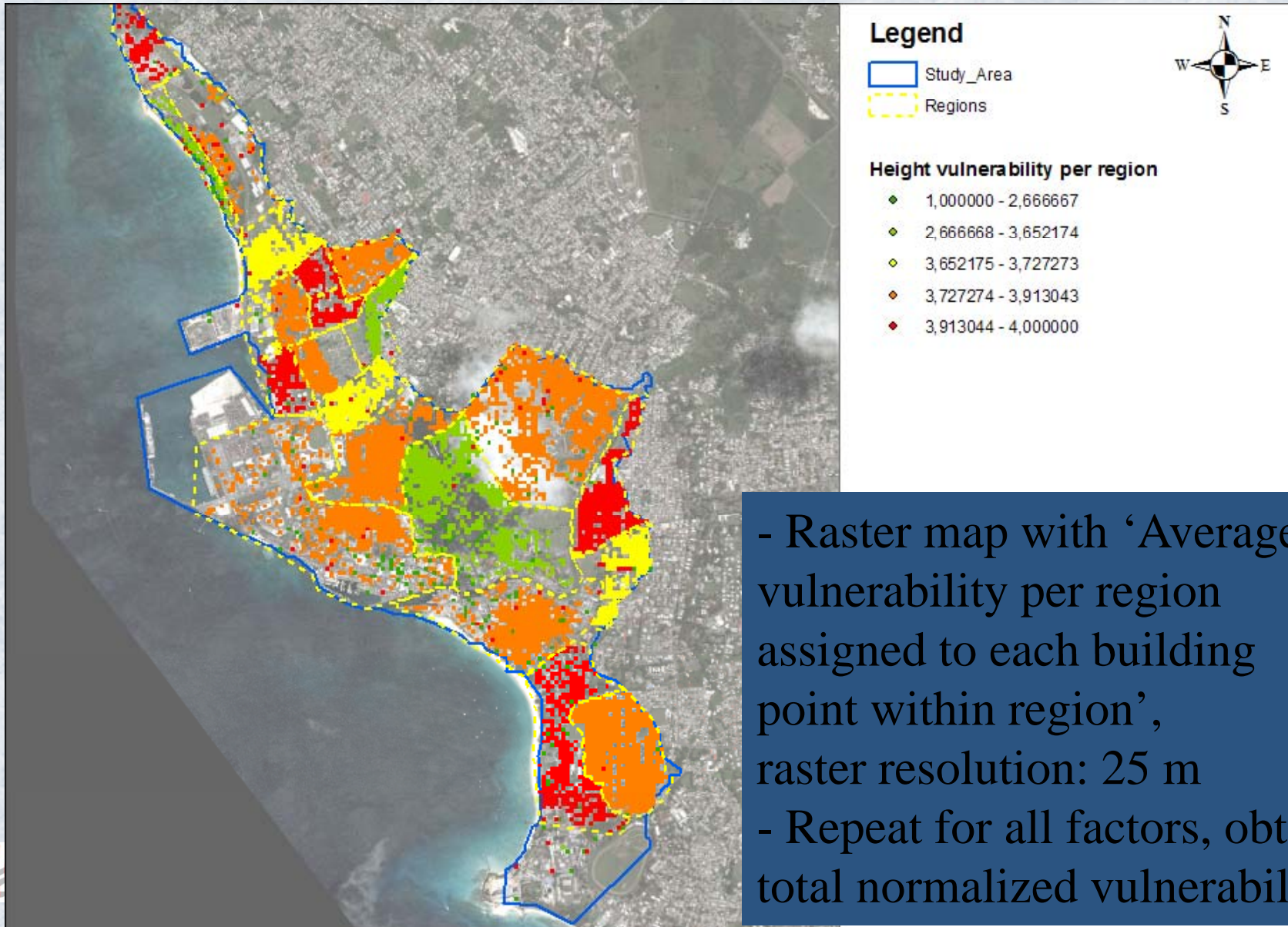
# Generation of vulnerability map



Average height vulnerability per region assigned to each building point within region (vector map)



# Generation of vulnerability map



# Last worksteps

- Combination of mortality with vulnerability
- Inclusion of day/night exposure
- Consideration of recreational areas

# Other considerations:

- **Need to normalise vulnerabilities?**
- **We may lose higher risk scenarios**
  - Smaller, but more frequent
- **Vulnerability also depends on:**
  - Education, knowledge, awareness
  - TEWS
  - Other mitigation measures
    - evacuation plans and routes
    - safe elevated areas
    - barriers, ...
  - Age of population
  - Differences in night and day use of buildings, etc.
  - ....
- **Other risk than mortality not considered**
  - Economic loss
  - Ecological
  - Reputation
- **Perceived risk**
- ....

# Lessons learned

- Use 'building ID' rather than GPS position
  - Avoid all complicated transfer of information from surveyed buildings to mapped buildings
- Nothing impossible!
  - Local institutions / contacts (Cave Hill, CZMU, SRU)
  - A skilled student (or 10)
  - External (?) tsunami and GIS expertise